(3) PA Code Cite: 49 Pa. Code §§ 16.11, 16.13, 18.145, 18.146, 18.301, 18.309a, 18.309b and 18.310         (4) Short Title: Physician Assistant and Respiratory Therapist         (5) Agency Contacts (List Telephone Number and Email Address):         Primary Contact: Teresa Lazo, Board Counsel, State Board of Medicine Box 2649, Harrisburg, PA 17105-2649 (phone 717-783-7200) (fax 78         Secondary Contact: Cynthia K. Montgomery, Regulatory Counsel, De 2649, Harrisburg, PA 17105-2649 (phone 717-783-7200) (fax 787-02         (6) Type of Rulemaking (check applicable box):         Proposed Regulation         Proposed Regulation         Emergency X Final Regulation	INDEPENDENT REGULATORY REVIEW COMMISSION		
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	tory citation.		
The amendments are authorized under sections 8, 8.1, 13(c), §§ 422.8, 422.8a, 422.13(c) and 422.13a(c)).	), and 13.1(c) of the act (	(63 P.S.	

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(9) Is the regulation mandated by any federal or state law or court order, or federal regulation? Are there any relevant state or federal court decisions? If yes, cite the specific law, case or regulation as well as, any deadlines for action.

Yes, the State Board of Medicine (Board) makes these changes to conform the Board's regulations to the amendments made to the act by Act 45 of 2008.

(10) State why the regulation is needed. Explain the compelling public interest that justifies the regulation. Describe who will benefit from the regulation. Quantify the benefits as completely as possible and approximate the number of people who will benefit.

The rulemaking is required to implement the Act 45 of 2008.

(11) Are there any provisions that are more stringent than federal standards? If yes, identify the specific provisions and the compelling Pennsylvania interest that demands stronger regulations.

There are no federal licensure standards for physician assistants or respiratory therapists.

(12) How does this regulation compare with those of the other states? How will this affect Pennsylvania's ability to compete with other states?

This rulemaking implements the statutorily-mandated revisions to licensure. For physician assistants, according to the Pennsylvania Society for Physician Assistants (PSPA), the National Commission on Certification of Physician Assistants (NCCPA) requires all physician assistants in all states to obtain 100 hours of continuing medical education. According to the American Academy of Physician Assistants (AAPA) data taken from the 2008 and 2007 AAPA Physician Assistant Census Surveys, physician assistants carry professional liability insurance. Therefore, the requirements in this regulation, as mandated by Act 45, would not put Pennsylvania at a competitive disadvantage for physician assistants.

For respiratory therapists, the American Association for Respiratory Care (AARC) maintains a website at <u>http://www.aarc.org/advocacy/state/2000 licensure matrix.html#matrix</u>, which lists the continuing education requirements in other states. Pennsylvania and four other states (GA, NY, SC and WA) require 30 hours of continuing education in a biennial period. Of the remaining states, 12 states require 24 hours biennially, while 14 require 20 hours biennially. Because Pennsylvania is among the 5 states that require the most continuing education, it does not necessarily mean that Pennsylvania is at a competitive disadvantage. Requiring a greater number of continuing education hours biennially protects public health and safety for those who rely on the skills and education of respiratory therapists. Therefore, this regulation, by implementing the statutory mandate of Act 45, would not put Pennsylvania at a competitive disadvantage for respiratory therapists.

(13) Will the regulation affect any other regulations of the promulgating agency or other state agencies? If yes, explain and provide specific citations.

The regulation will not affect other regulations of the Board or other state agencies.

(14) Describe the communications with and solicitation of input from the public, any advisory council/group, small businesses and groups representing small businesses in the development and drafting of the regulation. List the specific persons and/or groups who were involved. ("Small business" is defined in Section 3 of the Regulatory Review Act, Act 76 of 2012.)

Because much of this proposed rulemaking is statutorily mandated, the Board did not send an exposure draft of the proposed rulemaking to interested parties. However, after the Pennsylvania Society for Respiratory Care, Inc. (PSRC) filed public comments on June 7, 2012, the Board's assistant regulatory counsel and Board staff communicated with PSRC to address its public comments, as further detailed in the Preamble. Furthermore, the Board discussed the proposed rulemaking at public meetings of the Board, which are routinely attended by members of the regulated community and their professional associations.

(15) Identify the types and number of persons, businesses, small businesses (as defined in Section 3 of the Regulatory Review Act, Act 76 of 2012) and organizations which will be affected by the regulation. How are they affected?

There are currently approximately 5914 physician assistants licensed by the Board in Pennsylvania. According to the Pennsylvania Department of Labor and Industry in 2010, the majority of physician assistants work in offices of physicians (58.4%), while a minority of physician assistants are employed in general medical and surgical hospitals (23.0%), work in outpatient care centers (5.1%), or work for the federal government (1.6%). Other physician assistants work in dentist offices, psychiatric and substance abuse hospitals, and other health practitioner offices.

There are currently approximately 6874 respiratory therapists licensed by the Board in Pennsylvania. According to the Pennsylvania Department of Labor and Industry in 2010, the majority of respiratory therapists work in general medical and surgical hospitals (70.0%), while a minority of respiratory therapists work in retail health and personal care stores (1.5%), work for commercial goods wholesalers (1.5%), or are employed in physician offices (1.4%). Other respiratory therapists are employed in nursing care facilities, other hospitals, and durable medical equipment firms.

According to the Small Business Administration (SBA), there are approximately 982,692 businesses in Pennsylvania; of which 978,831 are small businesses. Of the 978,831 small businesses, 236,775 are small employers (those with fewer than 500 employees) and the remaining 772,056 are non-employers. Thus, the vast majority of businesses in Pennsylvania are considered small businesses.

For the business entities listed above for physician assistants and respiratory therapists, small businesses are defined in Section 3 of Act 76 of 2012, which provides that a small business is defined by the U.S. Small Business Administration's (SBA) Small Business Size Regulations under 13 CFR Ch. 1 Part 121. Specifically, the SBA has established these size standards at 13 CFR 121.201 for types of businesses under the North American Industry Classification System (NAICS).

In applying the NAICS standards to the types of businesses where physician assistants work, a small business in offices of physicians is one with \$10.0 million or less in average annual receipts. A small business in general medical and surgical hospitals is one with \$35.5 million or less in average annual receipts. According to the NAICS, small businesses in outpatient care centers have \$19.0 million or less in average annual receipts. For other businesses where physician assistants work, these businesses are considered small businesses if they have less than the following average annual receipts: dentist offices with \$7.0 million; psychiatric and substance abuse hospitals with \$35.5 million; and other health practitioner offices with \$7.0 million. Therefore, most physician assistants probably work in small businesses in this Commonwealth.

In applying the NAICS standards to the types of businesses where respiratory therapists work, as noted above, a small business in general medical and surgical hospitals is one with \$35.5 million or less in average annual receipts, while a small business in offices of physicians is one with \$10.0 million or less in average annual receipts. A small business in retail health and personal care stores is one with \$7.0 million in average annual receipts, while a business in commercial goods wholesalers has less than 100 employees. For other businesses where respiratory therapists work, these businesses are considered small businesses if they have less than the following average annual receipts: nursing care facilities with \$25.5 million; other hospitals with \$35.5 million and consumer goods rental shops with \$7.0 million. Therefore, most respiratory therapists probably work in small businesses in this Commonwealth.

Although many licensed respiratory therapists either are or work in small businesses, whether or not the small businesses that employ respiratory therapists will be adversely affected by the increased amount of continuing education (CE) hours from 20 to 30 during a biennial period, and to what extent, is subject to many factors. First, as noted in the answers to questions 17 and 19, not all CE courses require licensees to pay for the continuing education. Second, some licensees working in a variety of small businesses will have their CE costs (if any) paid for by their employers, while other licensees will pay for their CE costs themselves. Finally, it is important to note that it was the Pennsylvania General Assembly in enacting Act 46 in 2008 that increased the number of required CE hours from 20 to 30 during a biennial period. Thus, the Board is required to increase the CE for respiratory therapists under § 18.309(a)(1) due to the statutory mandate at section 36.1(f)(2) of the act (63 P.S. § 422.36a(f)(2)). Therefore, the costs of complying with the increased CE requirement will fall to some extent upon small business, depending on whether those who employ respiratory therapists pay for their CE costs. According to the Pennsylvania Society for Respiratory Care, Inc. (PSRC), approximately 20% of employers reimburse respiratory therapists for their continuing education costs.

Likewise, for physician assistants, due to two requirements of Act 45 of 2008, the small businesses in which they work will incur costs for continuing medical education (CME) and professional liability insurance. The CME is required by the National Commission on Certification of Physician Assistants (NCCPA) under new subsection 18.145(c) as required by section 36(f) of the act (63 P.S. § 422.36(f)) for biennial renewal of licensure for physician assistants. (Prior to the

enactment of Act 45 in 2008, according to the Pennsylvania Society for Physician Assistants (PSPA), the NCCPA had required CME, but Act 45 required the CME as a condition of biennial renewal.) The small businesses that employ physician assistants may also incur costs for professional liability insurance coverage of at least \$1,000,000 per occurrence or claim made, which is required by section 36(f) of the act (63 P.S. § 422.36(f)) which is referenced under new § 18.146(a). (According to the PSPA, physician assistants had always had malpractice insurance coverage prior to the enactment of Act 45, which set a minimum coverage amount of \$1,000,000 per occurrence or claim made.) As with respiratory therapists, whether or not the small businesses that employ physician assistants will have to pay for the costs of the physician assistants' CME and professional liability insurance depends upon whether the small businesses or the physician assistants pay for these costs. According to the American Academy of Physician Assistants (AAPA) data taken from the 2008 and 2007 AAPA Physician Assistant Census Surveys received by clinically practicing physician assistants who work 32 or more hours per week for their primary clinical employer and are not self-employed, 98% of employers of physician assistants pay for 95 to 100% of a physician assistant's professional liability insurance. This insurance is the most commonly reimbursed fringe benefit for physician assistants. The AAPA 2008 and 2007 Surveys also indicated that 90% of physician assistants participating in the surveys receive CME funding from their primary employer. Therefore, most employers of physician assistants who work 32 or more hours per week for their primary clinical employer and are not self-employed pay for the costs of their CME and professional liability insurance.

(16) List the persons, groups or entities, including small businesses, that will be required to comply with the regulation. Approximate the number that will be required to comply.

There are approximately 5914 physician assistants and 6874 respiratory therapists licensed by the Board, including those who work for small businesses, who will be required to comply with the rulemaking.

(17) Identify the financial, economic and social impact of the regulation on individuals, small businesses, businesses and labor communities and other public and private organizations. Evaluate the benefits expected as a result of the regulation.

The regulation will have only a minor fiscal impact on respiratory therapists, who must take an additional 10 credit hours of continuing education (CE) during a biennial period due to the requirement of Act 45 of 2008. Because the costs of CE vary from one course provider to another, a precise estimate of the increased costs is not possible. According to the Pennsylvania Society for Respiratory Care, Inc. (PSRC), many courses are offered free of charge. Most hospital respiratory therapy departments also allow companies to provide a lecture at their facility for their staff to attend at no charge as well as offer "grand rounds," which are performed in their Intensive Care Units (ICUs). Print publications (such as Saxe Healthcare Communications at <u>http://www.saxetesting.com</u>) are also offered online at no charge.

Other CE courses for respiratory therapists are offered at no or low cost. The American Association for Respiratory Care (AARC) is the national professional association for respiratory therapists, which has an annual membership fee of \$90; included with the membership is access to free webinar programs that are recognized as live courses on its website at http://www.aarc.org/education/webcast\_central/. If no other benefits of membership are used, the

biennial cost to comply with the CE requirement would be \$90. A membership in AARC also includes membership in the PSRC, which offers CE in all major Pennsylvania cities for courses that average no more than \$10 per hour of credit for members. Finally, there are multiple feebased online course providers that charge \$10 to \$15 per course hour, such as Respiratory Associates of Texas (<u>http://www.respiratorycare-online.com/princing.shtml</u>), Ganesco, Inc. (<u>http://www.ganesco.com/index.php?sect=testlist</u>), and RCECS (<u>https://www.reces.com</u>). Therefore, for the increased cost of 10 hours of continuing education during a biennial period, the Board estimates a cost of \$50 per year.

The additional requirement of 10 hours of CE may or may not affect the employers of respiratory therapists depending upon whether the employers reimburse the respiratory therapists for the costs of continuing education. According to the PSRC, approximately 20% of employers reimburse respiratory therapists for their continuing education costs.

Act 45 of 2008 imposed two types of costs upon physician assistants. First, Act 45 required physician assistants to complete CE as required by the National Commission on Certification of Physician Assistants (NCCPA). Second, Act 45 required physician assistants to maintain professional liability insurance.

The NCCPA requires physician assistants to take 100 hours of CE every 2 years and pass a recertification exam every 6 years. As with the cost of CE for respiratory therapists, the costs of CE for physician assistants varies greatly. Several entities provide free continuing education—hospitals, online courses and regional association meetings of the Pennsylvania Society for Physician Assistants (PSPA). Furthermore, at PSPA state and national meetings, low cost CE is offered at a rate of approximately \$15 to \$20 per credit hour. Physician assistants may also attend physician conferences, where the CE costs may be greater. An estimate of the varied costs of CE for physician assistants is approximately \$10 per credit hour. With 100 hours required every two years, that comes to a cost of \$1,000 every 2 years or \$500 per year for each licensed physician assistant. For 5914 licensed physician assistants licensed in Pennsylvania, the approximate CE cost is a total of \$2,957,000 per year.

Act 45 also required physician assistants to maintain professional liability insurance. As with the costs of CE, the costs of insurance for physician assistants vary greatly, depending upon the amount of insurance purchased, the area of specialty, the practice setting, the geographic location and whether the physician assistant works full-time or part-time. For further information on the range of insurance costs, see the link for one such insurance carrier, the American Academy of Physician Assistants, at <u>http://www.aapa.org/your pa career/malpractice insurance.aspx</u>. For example, insurance costs can vary from \$2,760 a year for a part-time physician assistant to \$4,246 a year for a full-time physician assistant, or an average of \$ 3,503 per year. For the 5914 licensed physician assistants in Pennsylvania, the average professional liability insurance cost is approximately \$20,716,742 per year. Therefore, the combined CE and insurance costs for all licensed physician assistants is \$23,673,742 per year. As noted in the answer to question 15, most employers (most of which are probably small businesses) of physician assistants (who work 32 or more hours per week for their primary clinical employer and are not self-employed) reimburse them for the costs of CE and professional liability insurance.

Not all of the financial and economic impact of the regulation will be negative due to the increased amount of 10 hours of continuing education required of respiratory therapists and the continuing education that the NCCPA requires of physician assistants. Those businesses and associations that are course providers for continuing education will benefit from continuing education to these licensees.

The regulation is beneficial to ensure that the Board regulations conform to current statutory changes and do not cause confusion in the regulated communities or in the general population. Furthermore, the regulated communities and the public will benefit from these changes because respiratory therapists will be required to take more continuing education, and physician assistants will be required to take continuing education for biennial renewal and carry professional liability insurance. This will hopefully result in increased public health and safety for the work done by respiratory therapists and physician assistants.

(18) Explain how the benefits of the regulation outweigh any cost and adverse effects.

The regulated community, the public and those who receive the services of respiratory therapists will benefit from these changes because respiratory therapists will be required to take more continuing education. Likewise, requiring continuing education and professional liability insurance for physician assistants will protect public health and safety as well as the medical professionals who depend upon physician assistants. Finally, the regulation will benefit the regulated communities, those who contract for the services of these licensees and the public by ensuring that board regulations are consistent with the amendments to the act made by Act 45 of 2008 and will not be a source of confusion.

(19) Provide a specific estimate of the costs and/or savings to the **regulated community** associated with compliance, including any legal, accounting or consulting procedures which may be required. Explain how the dollar estimates were derived.

See the answer to question 17 for information on the varied costs for both respiratory therapists and physician assistants. The estimated biennial cost for respiratory therapists is as follows: (6874 licensees x .8 (percent of licenses not reimbursed) x \$100 = 549,920 or \$274,960 per year.

Physician assistants are required by Act 45 of 2008 to take continuing medical education (CME) as required by the NCCPA and to maintain professional liability insurance. For 5914 licensed physician assistants in Pennsylvania, the approximate CME cost is a total of \$2,957,000 per year, and the average professional liability insurance cost is approximately \$20,716,742 per year. Therefore, the combined CME and insurance costs for all licensed physician assistants is \$23,673,742 per year (or about \$4,000 per year per physician assistant), which are usually paid for by the employers of physician assistants who work 32 or more hours per week for their primary clinical employer and are not self-employed.

(20) Provide a specific estimate of the costs and/or savings to the **local governments** associated with compliance, including any legal, accounting or consulting procedures which may be required. Explain how the dollar estimates were derived.

There are no costs or savings to local governments associated with compliance with the rulemaking.

(21) Provide a specific estimate of the costs and/or savings to the **state government** associated with the implementation of the regulation, including any legal, accounting, or consulting procedures which may be required. Explain how the dollar estimates were derived.

The Department randomly audits licensees for compliance with the continuing education (CE) or continuing medical education (CME) requirements. Increasing the number of CE credits for respiratory therapists and requiring CE as a condition of renewal for physician assistants will require Department staff to devote more time to this audit process. However, the Department does not maintain records of the time specifically devoted to the audit process, so an estimate is not available.

(22) For each of the groups and entities identified in items (19)-(21) above, submit a statement of legal, accounting or consulting procedures and additional reporting, recordkeeping or other paperwork, including copies of forms or reports, which will be required for implementation of the regulation and an explanation of measures which have been taken to minimize these requirements.

Because this regulation is required by Act 45 of 2008, there is no way to minimize any paperwork requirements. Reporting forms must be changed to reflect the change in the required number of continuing education credits that a respiratory therapist must take. Licensees will still need to maintain certificates of completion for at least 6 years for the additional continuing education.

(23) In the table below, provide an estimate of the fiscal savings and costs associated with implementation and compliance for the regulated community, local government, and state government for the current year and five subsequent years.

	Current FY Year	FY +1 Year	FY +2 Year	FY +3 Year	FY +4 Year	FY +5 Year
SAVINGS:	\$	\$ ·	\$	\$	\$	\$
Regulated Community			]			
Local Government						
State Government						
Total Savings	N/A	N/A	N/A	N/A	N/A	N/A
COSTS:		· ·			· · · ·	
RTs	0	\$274,960	\$274,960	\$274,960	\$274,960	\$274,960
PAs	\$23,673,742	\$23,673,742	\$23,673,742	\$23,673,742	\$23,673,742	\$23,673,742
Local Government		·				
State Government						+
Total Costs	\$23,673,742	\$24,196,166	\$24,196,166	\$24,196,166	\$24,196,166	\$24,196,166

REVENUE						
LOSSES:						
Regulated Community	× .					·
Local Government		- -				
State Government	÷				··	
Total Revenue Losses	N/A	N/A	N/A	N/A	N/A	N/A

(23a) Provide the past three year expenditure history for programs affected by the regulation.

Program	FY -3	FY -2	FY -1	Current FY
	(FY 2009-10)	(FY 2010-11)	(FY 2011-12)	(Budgeted)
State Board of Medicine	4,850,758.87	5,432,876.38	5,863,561	6,948,000

(24) For any regulation that may have an adverse impact on small businesses (as defined in Section 3 of the Regulatory Review Act, Act 76 of 2012), provide an economic impact statement that includes the following:

- (a) An identification and estimate of the number of small businesses subject to the regulation.
- (b) The projected reporting, recordkeeping and other administrative costs required for compliance with the proposed regulation, including the type of professional skills necessary for preparation of the report or record.
- (c) A statement of probable effect on impacted small businesses.
- (d) A description of any less intrusive or less costly alternative methods of achieving the purpose of the proposed regulation.

(a) As noted in the answer to question 15, there are 5914 physician assistants and 6874 respiratory therapists licensed by the Board in Pennsylvania. In considering all of the small business thresholds set by NAICS for the businesses in which these licensees work, it is probable that many of these licensees work in small businesses. However, it is not possible to provide an estimate of the total number of small businesses subject to the regulation.

(b) As stated in the answers to questions 17 & 19, the additional cost to each respiratory therapist for 10 additional credit hours of continuing education is approximately \$ 50 per year. For each physician assistant, the cost of CE is approximately \$500 per year, and the cost of professional liability is on the average approximately \$3,500 per year. As noted above, these amounts can vary greatly depending on the number and type of different courses that a licensee takes to fulfill the continuing education requirements.

(c) As discussed in the answer to question 15, while many respiratory therapists and physician assistants may be employed in small businesses, the probable effect upon small businesses depends upon whether the employers of respiratory therapists pay for their continuing education. Likewise, for physician assistants, the probable effect upon small businesses depends upon whether the employers of physician assistants pay for their continuing education and professional liability insurance; however it appears that most employers cover these costs for employed physician assistants. (d) There are no less intrusive or less costly alternative methods of achieving the purpose of the final regulation because the increase of 10 additional hours of biennial continuing education for respiratory therapists was mandated by the General Assembly in Act 45 of 2008. Likewise, for physician assistants, Act 45 of 2008 mandated continuing education that is required by the NCCPA, as well as professional liability insurance. However, PAs already complete the CE to maintain National certification; and virtually all PAs already carry professional liability insurance, usually through their employer.

(25) List any special provisions which have been developed to meet the particular needs of affected groups or persons including, but not limited to, minorities, the elderly, small businesses, and farmers.

No special provisions are required to address any particular group because the increase of 10 additional hours of biennial continuing education for respiratory therapists was mandated by the General Assembly in Act 45 of 2008. Likewise, for physician assistants, Act 45 of 2008 mandated continuing education that is already required by the NCCPA, as well as professional liability insurance.

(26) Include a description of any alternative regulatory provisions which have been considered and rejected and a statement that the least burdensome acceptable alternative has been selected.

Because this final rulemaking incorporates the statutory language of Act 45 of 2008, which added 10 hours of biennial continuing education for respiratory therapists and mandated for physician assistants continuing education that is required by the NCCPA, as well as professional liability insurance, no alternative regulatory provisions would accomplish the goal of the regulation.

(27) In conducting a regulatory flexibility analysis, explain whether regulatory methods were considered that will minimize any adverse impact on small businesses (as defined in Section 3 of the Regulatory Review Act, Act 76 of 2012), including:

- a) The establishment of less stringent compliance or reporting requirements for small businesses;
- b) The establishment of less stringent schedules or deadlines for compliance or reporting requirements for small businesses;
- c) The consolidation or simplification of compliance or reporting requirements for small businesses;
- d) The establishment of performing standards for small businesses to replace design or operational standards required in the regulation; and
- e) The exemption of small businesses from all or any part of the requirements contained in the regulation.

Because this final rulemaking incorporates the statutory language of Act 45 of 2008, which added 10 hours of biennial continuing education for respiratory therapists and mandated for physician assistants continuing education that is required by the NCCPA, as well as professional liability insurance, no regulatory methods were considered that will minimize any adverse impact on small businesses. All licenses renew biennially, and the act requires CE as a condition of renewal, so no less stringent deadlines or schedules for compliance would be consistent with the act. Because many physician assistants and respiratory therapists work for small businesses, exempting small business from any part of the requirements would be inconsistent with the statute and would be contrary to public safety and welfare.

(28) If data is the basis for this regulation, please provide a description of the data, explain <u>in detail</u> how the data was obtained, and how it meets the acceptability standard for empirical, replicable and testable data that is supported by documentation, statistics, reports, studies or research. Please submit data or supporting materials with the regulatory package. If the material exceeds 50 pages, please provide it in a searchable electronic format or provide a list of citations and internet links that, where possible, can be accessed in a searchable format in lieu of the actual material. If other data was considered but not used, please explain why that data was determined not to be acceptable.

This rulemaking is not based upon any scientific data, studies or references.

(29) Include a s	chedule for review of the regulation including:	· · · · · · · · · · · · · · · · · · ·
A. The c	late by which the agency must receive public comments:	<u>N/A</u>
	ate or dates on which public meetings or hearings be held:	<u>N/A</u>
	xpected date of promulgation of the proposed ation as a final-form regulation:	<u>Fall 2013</u>
D. The e	expected effective date of the final-form regulation:	<u>Fall 2013</u>
	ate by which compliance with the final-form ation will be required:	Upon final publication
	ate by which required permits, licenses or other vals must be obtained:	Upon final publication

(30) Describe the plan developed for evaluating the continuing effectiveness of the regulations after its implementation.

The Board continually reviews the efficacy of its regulations, as part of its annual review process under Executive Order 1996-1. The Board reviews its regulatory proposals at regularly scheduled public meetings, which occur 10 months of the year. The meeting dates for the remainder of 2013 are as follows: May 28, June 25, July 23, September 5, October 22 and December 9. More information can be found on the Board's website (www.dos.state.pa.us/med).

# CDL-1

BV:

## **FACE SHEET** FOR FILING DOCUMENTS WITH THE LEGISLATIVE REFERENCE BUREAU

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(DEPUTY ATTORNEY GENERAL)

DATE OF APPROVAL

Copy below is hereby certified to be a true and correct copy of a document issued, prescribed or promulgated by:

State Board of Medicine (AGENCY)

DOCUMENT/FISCAL NOTE NO. 16A-4930

DATE OF ADOPTION:

BY:

Andrew J. Behnk

TITLE: Chairperson (EXECUTIVE OFFICER, CHAIRMAN OR SECRETARY)

OCT 09 2013 DATE OF APPROVAL

tive Deputy General Counsel Strike inapplicable title)

[ ] Check if applicable. No Attorney General approval or objection within 30 day after submission.

#### FINAL RULEMAKING

**COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE** BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS **STATE BOARD OF MEDICINE** 

49 Pa. Code §§ 16.11, 16.13, 18.122, 18.145-18.146, 18.301-18.310 ACT 45 OF 2008 PHYSICIAN ASSISTANT AND RESPIRATORY THERAPIST

[ ] Check if applicable Copy not approved. Objections attached.

16A-4930 - Final Preamble

Physician Assistant and Respiratory Therapist – State Board of Medicine May 6, 2013

The State Board of Medicine (Board) hereby amends §§ 16.11, 16.13, 18.145, 18.301, 18.302, 19.304 - 18.309, 18.309a, 18.309b and 18.310, and adds § 18.146 (relating to professional liability insurance coverage for licensed physician assistants) to read as set forth in Annex A.

## Effective date

The amendments will be effective upon publication of the final-form rulemaking in the *Pennsylvania Bulletin*.

## Statutory Authority

The amendments are authorized under sections 8, 8.1, 13(c) and 13.1(c) of the Medical Practice Act of 1985 (act) (63 P.S. §§ 422.8, 422.8a, 422.13(c) and 422.13a(c)).

#### **Background and Need for the Amendment**

The act of July 4, 2008 (P.L. 580, No. 45) (Act 45) amended the act to change references to the "certification of respiratory care practitioners" to the "licensure of respiratory therapists" and revised the standards for licensure as a respiratory therapist and for receipt of a temporary practice permit. Act 45 also identified additional specific acts of practice for physician assistants and required physician assistants to complete continuing education and maintain professional liability insurance.

## Summary of Comments to Proposed Rulemaking and the Board's Response

The Board published notice of proposed rulemaking in the *Pennsylvania Bulletin* on May 12, 2012, at 42 Pa. B. 2469, followed by 30 days of public comment. The Board received public comments from the Pennsylvania Society for Respiratory Care, Inc. (PSRC), and three respiratory care practitioners. The Board also received comments from the House Professional Licensure Committee (HPLC) and the Independent Regulatory Review Commission (IRRC) as part of their review under the Regulatory Review Act (71 P.S. §§ 745.1 – 745.14).

#### Comments from Practitioners

The Board received three comments from practitioners, two of which were a form letter. The form letter opposed increasing the continuing education (CE) requirement for respiratory therapists from 20 hours biennially to 30 hours biennially, citing both financial challenge and lack of courses that present new information. The third letter also objected to the increased CE requirement, citing financial challenge and insisting that the PSRC and government officials are wrong in thinking that quality of care is related to the amount of mandatory continuing education credits an individual obtains. In response, the Board notes that the increase in the number of CE hours required biennially was made by the General Assembly and the Board lacks statutory authority to retain the 20-hour requirement. Regarding the assertion that respiratory therapist CE seminars repeat the same topics, the Board will work with the PSRC and other continuing education providers to encourage a wider range of topics.

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#### Comments from the Pennsylvania Society for Respiratory Care, Inc.

PSRC sent the Board four comments on June 7, 2012. Regarding § 18.307(l)(i) (relating to criteria for licensure as a respiratory therapist), PSRC requested that the Board identify the "credentialing examination" as the examination for entry to practice rather than the "CRTT." The Independent Regulatory Review Commission (IRRC) agreed. In addition to making this change, the Board similarly clarified the examination in § 18.306(b) (relating to temporary permits), a necessary amendment noted by the House Professional Licensure Committee (HPLC).

PSRC also recommended that the Board completely eliminate § 18.306 (relating to temporary permits). PSRC opined that temporary permits are unnecessary because graduates may now schedule the entry level examination immediately upon graduation. Act 45 revised qualifications of applicants for a temporary permit, such that section 36.1(b) of the act (63 P.S. § 422.36a(b)) sets forth the criteria for the Board issuing a temporary permit to individuals who have applied for licensure and section 36.1(c) of the act sets forth the duration and effect of temporary permits. These recent amendments indicate that the General Assembly has reaffirmed the viability of temporary permits for respiratory therapists and, accordingly, the Board believes that it lacks statutory authority to eliminate temporary permits.

In addition, the Board believes there is some value to retaining temporary permits. A temporary permit to practice is valid for 12 months, unless the holder fails the entry level credentialing examination. Upon notification to the permit holder that the examination attempt was not successful, the permit becomes null and void. An applicant is not required to obtain a temporary permit, but may apply for a temporary permit to allow practice prior to the time the applicant takes the licensing examination, while the applicant is waiting for the examination results, or while the applicant's application for licensure is being processed. In short, a temporary permit bridges any time gap that may occur between graduation and licensure and allows graduates to become employed without delay.

PSRC also recommended changes to § 18.309a (relating to requirement of continuing education). First, PSRC suggested that the provision, as drafted, is confusing to new graduates. In response, the Board rewrote subsection (b) for clarity to read: "An individual applying for the first time for licensure in this Commonwealth is exempt from completing the continuing education requirements during the initial biennial renewal period in which the license is issued." This language exempts from the CE requirement the three types of applicants who may obtain initial Pennsylvania licensure: 1) new graduates from Pennsylvania; 2) new graduates from other states; and 3) licensees in other states who are seeking licensure in Pennsylvania.

Second, PSRC suggested eliminating the exemption for licensees in other states who are seeking licensure in Pennsylvania who are not "new graduates." The Board declines to make this change. Continuing education is required for license renewal in the 48 states where respiratory therapists are licensed. If a practicing respiratory therapist from another state applies for a license in Pennsylvania and has not taken as many hours of CE as now required in

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Physician Assistant and Respiratory Therapist – State Board of Medicine May 6, 2013

Pennsylvania, that individual will still have both experience and knowledge gained while practicing in another state. The exemption is applied to only the first biennial renewal period for all of the allied health professionals licensed by the Board. Finally, eliminating the exemption would be time consuming and difficult for the Board to administer because it would require the Board to distinguish between licensees whose initial license was granted in Pennsylvania and licensees whose initial license was granted by another state. For all of these reasons, the Board maintains that the new language it has added to § 18.309a(b) clarifies this subsection and that further changes are not necessary or warranted.

Finally, at § 18.309b(a) (relating to approved educational courses), PSRC had asked the Board to approve advanced life support courses accredited by the American Heart Association (AHA) or similar groups. AHA advanced life support courses are approved by the American Medical Association (AMA). The Board's current regulation at § 18.309b(a) already provides approval for AMA-approved CE programs. Therefore, AHA advanced life support courses are already approved as CE for Respiratory Therapists.

#### Comments from the House Professional Licensure Committee

On June 13, 2012, HPLC submitted two comments. The first comment noted the Board's timing for the submission of these regulations as proposed. The Board respectfully understands the Committee's comments and will work to provide more timely proposed regulations in the future.

Second, as noted previously, HPLC suggested that references to the "credentialing examination" should be amended to references to the "entry level credentialing examination." The Board made this change at  $\S$  18.306(b) and 18.307(1)(i).

#### Comments from the Independent Regulatory Review Commission

On July 11, 2012, IRRC submitted seven comments. First, IRRC pointed out that the "Note" section of Act 45 requires the Board and the Board of Osteopathic Medicine to jointly promulgate these regulations. The act and the Osteopathic Medical Practice Act (63 P.S. §§ 271.1 - 271.18) authorize each board to license and regulate only those who practice under each act, respectively. The amendments to each act in Acts 45 and 46 of 2008 do not change which board licenses and regulates the professionals under the jurisdiction of each board. Likewise, the boards do not jointly license or regulate the professionals under the jurisdiction of each board. Each board promulgated similar proposed regulations and now adopts substantially identical final regulations. By promulgating these regulations at the same time, all of the statutorily mandated changes will be effective at the same time, regardless of which board has jurisdiction over an individual practitioner. The board believes this process meets with the statutory intent.

With respect to Subchapter D (relating to physician assistants), IRRC raised two issues. First, IRRC noted that § 18.145(c) (relating to biennial registration requirements; renewal of physician assistant license) requires physician assistants to maintain national certification and recommended that the final-form regulation should identify the recertification mechanisms recognized by the Board or identify how a physician assistant can access this information. The

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Board adds to the first sentence of this subsection a reference to the National Commission on Certification of Physician Assistants (NCCPA) and directs physician assistants to the NCCPA's website (currently <u>www.nccpa.net</u>) to access the information.

Second, IRRC recommended that the Board clarify where it will publish any future recognition of an organization's certification of physician assistants. The Board addressed this request at § 18.145(c), noting that any additional National certification organization will be announced on the Board's website.

IRRC's remaining comments addressed Subchapter F (relating to respiratory therapists). IRRC indicated some confusion in § 18.306(a), which refers to an individual "who is recognized as a **credentialed respiratory therapist** ..." (Emphasis added by IRRC.) The Board amended this subsection to clarify that an applicant for a temporary permit would not yet be a licensee of the board. The reference to a "credentialed respiratory therapist" is intended to mean an individual who holds one of the credentials issued by the National Board for Respiratory Care (NBRC.)

IRRC echoed the suggestion made by HPLC to replace the term "credentialing examination" with "entry level credentialing examination," changes which were also made at 18.306(b) and 18.307(1)(i).

IRRC made two recommendations at § 18.309a (relating to requirement of continuing education). First, IRRC recommended that the Board address in the Regulatory Analysis Form (RAF) any additional costs that the increase in the minimum number of mandatory CE hours will impose on the regulated community. The Board has added this analysis in the RAF. Many courses are offered free of charge or at low cost through the American Association for Respiratory Care (AARC) Free online courses. Most hospital respiratory therapy departments sponsor lectures at their facility for their staff to attend at no charge and some offer "grand rounds," in their Intensive Care Units (ICUs). Print publications (such as Saxe Healthcare Communications at <u>www.saxetesting.com</u>) are also offered online at no charge. Because many courses are offered free of charge or at a low price, the Board estimates a cost of \$10 per credit hour, for a total cost for the additional 10 hours of CE of \$100 per licensee during a biennial renewal period or \$50 per year. Respiratory therapists may also receive free or low cost CE by joining AARC at a cost of \$90 annually. If one assumes that a licensee joins AARC primarily to receive low cost or free CE, then the total estimated cost of the additional CE and AARC membership is \$140 a year.

Second, IRRC recommended consistency between the Board's proposed elimination of the limit on the number of CE hours that would be creditable for biennial renewal from nontraditional CE (prerecorded presentations, Internet-based presentations and journal review programs) and the State Board of Osteopathic Medicine's proposal that at least 10 hours of continuing education be earned in traditional continuing education (classroom lecture, clinical presentation, real-time web-cast or other live sessions where a presenter is involved). The boards have agreed that at least 10 hours of CE must be taken from traditional sources in order to assure consistent standards across the two boards.

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IRRC also recommended that the Board define the term "practice building" in § 18.309b(c). The Board defined the term in § 18.302 (relating to definitions) as "marketing or any other activity that has as its primary purpose increasing the business volume or revenue of a licensee or the licensee's employer."

IRRC suggested that the Board's description of amendments to the final-form rulemaking address first physician assistant provisions first, followed by the respiratory therapist provisions. The Board has done so.

Finally, IRRC asked the Board to add Purdon's citations to §§ 18.146(c), 18.146(d) and 18.305(b). The Board confirmed with the Legislative Reference Bureau that the proposed rulemaking is correct. Purdon's citations to a cross-referenced statute are to appear only the first time the section of the act is referenced within a particular section of a regulation. The first citation applies to all subsequent references of the same statutory section or any subsection or paragraph of the same statutory section. Accordingly, the Board did not make the requested additions to §§ 18.146(c), 18.146(d) and 13.305(b).

#### **Description of Amendments to the Final-Form Rulemaking**

In Chapter 16, the Board amended the paragraph number in § 16.11(b) that refers to the respiratory therapist license, as the Board has already published final rulemaking related to behavior specialists at paragraph (6). Thus, the respiratory therapist license will appear at paragraph (7).

In Chapter 18, the Board made amendments relating to physician assistants in Subchapter D. First, at § 18.122 (relating to definitions), the Board further defined NCCPA as the organization recognized by the Board to certify and recertify physician assistants by requiring CE and examination. At § 18.145 (relating to biennial registration requirements, renewal of physician assistant license), the Board directed physician assistants to the NCCPA's website for information regarding maintenance of certification and clarified that it will publish recognition of additional National organizations on the Board's website.

The remaining changes in the final-form regulations appear at Subchapter F (relating to respiratory therapists). The Board added at a definition of "practice building" at § 18.302 (relating to definitions).

At § 18.306 (relating to temporary permits), the Board clarified that a temporary permit will be issued to an applicant who is not yet a licensee of the Board. Furthermore, as requested by the PSRC, HPLC and IRRC, the Board replaced CRTT with "entry level credentialing examination" at § 18.306(b) and at § 18.307(1)(i) (relating to criteria for licensure as a respiratory therapist).

At § 18.309a (relating to requirement of continuing education), the Board amended subsection (a)(3) to make the traditional and nontraditional CE requirements the same for both the Board and the State Board of Osteopathic Medicine. The provision provides that respiratory therapists must complete at least 10 hours of traditional CE such as classroom lecture, clinical

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presentation, real-time web-case or other sessions where a presenter is involved to meet the biennial continuing education requirement. Prior to the statutory increase to the total number of credits required biennially, the Board had required 10 hours to be completed in traditional continuing education, but had proposed elimination of this restriction. Upon agreement with the State Board of Osteopathic Medicine, the Boards will both retain this requirement.

Finally, the Board amended § 18.309a(b) to clarify that new licensees are exempt from the continuing education requirement for the first biennial renewal period. The new language states: "An individual applying for the first time for licensure in this Commonwealth is exempt from completing the continuing education requirements during the initial biennial renewal period in which the license is issued."

#### Fiscal Impact and Paperwork Requirements

There are minimal fiscal impacts upon physician assistants because they are already required to complete continuing education to maintain National certification and because virtually all physician assistants already carry professional liability insurance, usually provided by their employers. There will be no adverse fiscal impact on the Commonwealth or its political subdivisions. Likewise, the amendments in this rulemaking will impose no additional paperwork requirements upon the Commonwealth, political subdivisions or the private sector. The proposed amendments will have only a minor fiscal impact on respiratory therapists who must take an additional 10 credit hours of continuing education during a biennial period; and may impact those small businesses who pay continuing education costs for employed respiratory therapists.

## Sunset Date

The Board continuously monitors its regulations. Therefore, no sunset date has been assigned.

#### **Regulatory Review**

Under section 5(a) of the Regulatory Review Act (71 P.S. § 745.5(a)), on May 2, 2012, the Board submitted a copy of the notice of proposed rulemaking, published at 42 Pa. B. 2469, to the Independent Regulatory Review Commission and the Chairpersons of the House Professional Licensure Committee (HPLC) and the Senate Consumer Protection and Professional Licensure Committee (SCP/PLC) for review and comment.

The Board received three comments from its licensee community and one public comment from the Pennsylvania Society for Respiratory Care, Inc. The HPLC and IRRC also submitted comments. The SCP/PLC did not comment. In preparing the final-form rulemaking, the Board considered all comments.

Under section 5.1(j.2) of the Regulatory Review Act (71 P.S. § 745.5a(j.2)), on , 2013, the final-form rulemaking was approved by the HPLC. On , 2013, the final-form rulemaking was deemed approved by the SCP/PLC. Under section 5.1(e) of the Regulatory Review Act, IRRC met on \_ 2013, and approved the final-form rulemaking.

## **Findings**

The Board finds that:

- 1. Public notice of proposed rulemaking was given under sections 201 and 202 of the act of July 31, 1968 (P.L. 769, No. 240), (45 P.S. §§ 1201 − 1202), and the regulations promulgated thereunder, 1 Pa. Code §§ 7.1 − 7.2.
- 2. A public comment period was provided as required by law and all comments were considered.
- 3. The amendments to the final-form rulemaking do not enlarge the purpose of proposed rulemaking published at 42 Pa. B. 2469.
- 4. This final-form rulemaking is necessary and appropriate for administering and enforcing the authorizing act identified in this Preamble.

## <u>Order</u>

The Board, acting under its authorizing statute, orders that:

- (A) The regulations of the Board at 49 Pa. Code §§16.11, 16.13, 18.145, 18.146, 18.301, 18.302, 18.304 18.309, 18.309a, 18309b and 18.310 are amended to read as set forth in Annex A.
- (B) The Board shall submit this order and Annex A to the Office of General Counsel and the Office of Attorney General as required by law.
- (C) The Board shall certify this order and Annex A and deposit them with the Legislative Reference Bureau as required by law.
- (D) This order shall take effect immediately upon publication in the *Pennsylvania* Bulletin.

7

Andrew J. Behnke, M.D. Chairman

#### Annex A

## TITLE 49. PROFESSIONAL AND VOCATIONAL STANDARDS

## PART I. DEPARTMENT OF STATE

## Subpart A. PROFESSIONAL AND OCCUPATIONAL AFFAIRS

## CHAPTER 16. STATE BOARD OF MEDICINE—GENERAL PROVISIONS

## Subchapter B. GENERAL LICENSE, CERTIFICATION AND REGISTRATION

#### PROVISIONS

## § 16.11. Licenses, certificates and registrations.

\* \* \* \* \*

(b) The following nonmedical doctor licenses and certificates are issued by the Board:

\* \* \* \*

(6) (7) <u>Respiratory therapist license.</u>

\* \* \* \*

§ 16.13. Licensure, certification, examination and registration fees.

\* \* \* \* \*

(g) *Respiratory* [*Care Practitioner Certificate*] <u>*Therapist License*</u>:

\* \* \* \*

## CHAPTER 18. STATE BOARD OF MEDICINE—PRACTITIONERS OTHER THAN

#### **MEDICAL DOCTORS**

## Subchapter D. PHYSICIAN ASSISTANTS

## **GENERAL PROVISIONS**

§ 18.122. Definitions.

The following words and terms, when used in this subchapter, have the following meanings, unless the context clearly indicates otherwise:

\* \* \* \*

*NCCPA* – The National Commission on Certification of Physician Assistant ASSISTANTS, THE ORGANIZATION RECOGNIZED BY THE BOARD TO CERTIFY AND RECERTIFY PHYSICIAN ASSISTANTS BY REQUIRING CONTINUING EDUCATION AND EXAMINATION.

#### \* \* \* \* \*

## LICENSURE OF PHYSICIAN ASSISTANTS AND REGISTRATION OF SUPERVISING

#### PHYSICIANS

\* \* \* \* \*

§ 18.145. Biennial registration requirements; renewal of physician assistant license.

\* \* \* \* \*

(c) To be eligible for renewal of a physician assistant license, the physician assistant shall complete continuing medical education as required under the National Commission on <u>Certification of Physician Assistants (NCCPA)</u> BY NCCPA and maintain National certification by completing current recertification mechanisms available to the profession, and IDENTIFIED ON NCCPA'S WEBSITE AS recognized by the Board. <u>The Board recognizes certification</u> through NCCPA and its successor organizations and certification through any other National organization for which the Board publishes recognition of the organization's certification of physician assistants ON THE BOARD'S WEBSITE.

\* \* \* \*

# § 18.146. Professional liability insurance coverage for licensed physician assistants.

(a) A licensed physician assistant shall maintain a level of professional liability insurance coverage as required under section 36(f) of the act (63 P. S. § 422.36(f)).

(b) Proof of professional liability insurance coverage may include:

(1) A certificate of insurance or copy of the declaration page from the applicable insurance policy setting forth the effective date, expiration date and dollar amounts of coverage.

(2) Evidence of a plan of self-insurance approved by the Insurance Commissioner of the Commonwealth under regulations of the Insurance Department in 31 Pa. Code Chapter 243 (relating to medical malpractice and health-related self-insurance plans).

(c) A license that was issued in reliance upon a letter from the applicant's insurance carrier indicating that the applicant will be covered against professional liability effective upon the issuance of the applicant's license as permitted under section 36(f)(2) of the act will become inactive as a matter of law 30 days after issuance of the license if the licensee has not provided proof of professional liability insurance coverage and will remain inactive until the licensee provides proof of insurance coverage.

(d) A licensee who does not have professional liability insurance coverage as required under section 36(f) of the act may not practice as a physician assistant in this Commonwealth.

\* \* \* \*

# Subchapter F. RESPIRATORY [CARE PRACTITIONERS] <u>THERAPISTS</u> § 18.301. Purpose.

This subchapter implements sections 13.1 and 36.1 of the act (63 P.S. §§ 422.13a and 422.36a), which were added by section 3 of the act of July 2, 1993 (P. L. 424, No. 60) to provide

for the [certification] licensure of respiratory [care practitioners] therapists.

## § 18.302. Definitions.

The following words and terms, when used in this subchapter, have the following meanings, unless the context clearly indicates otherwise:

\* \* \* \* \*

Act—The Medical Practice Act of 1985 (63 P. S. § § 422.1—422.45[.]).

<u>CoARC</u>—The Committee on Accreditation for Respiratory Care, an organization which accredits respiratory care programs.

[*CRTT*—The Certification Examination For Entry Level Respiratory Therapy Practitioners, a National uniform examination developed and administered by the NBRC for certified respiratory care therapy practitioners.]

\* \* \* \* \*

[*JRCRTE*—The Joint Review Committee on Respiratory Therapy Education, which accredits respiratory care programs.]

*NBRC*—The National Board for Respiratory Care, the agency recognized by the Board to credential respiratory [care practitioners] <u>therapists</u>.

PRACTICE BUILDING – MARKETING OR ANY OTHER ACTIVITY THAT HAS AS ITS PRIMARY PURPOSE INCREASING THE BUSINESS VOLUME OR REVENUE OF A LICENSEE OR THE LICENSEE'S EMPLOYER.

*Respiratory* [*care practitioner*] <u>therapist</u>—A person who has been [certified] <u>licensed</u> in accordance with the act and this subchapter.

§ 18.304. [Certification] <u>Licensure</u> of respiratory [care practitioners] <u>therapists;</u> practice; exceptions.

(a) A person may not practice or hold himself out as being able to practice as a respiratory [care practitioner] therapist in this Commonwealth unless the person holds a valid, current temporary permit or [certificate] license issued by the Board, or the State Board of Osteopathic Medicine under Chapter 25 (relating to State Board of Osteopathic Medicine), or is exempted under section 13.1(e) of the act (63 P.S. § 422.13a(e)) or section 10.1(e) of the Osteopathic Medical Practice Act (63 P.S. § 271.10a(e)).

(b) A person may not use the words <u>"licensed respiratory therapist" or</u> "respiratory care practitioner," the letters ["R.C.P."] <u>"LRT," "RT" or "RCP"</u> or similar words and related abbreviations to imply that respiratory care services are being provided, unless the services are provided by a respiratory [care practitioner] <u>therapist</u> who holds a valid, current temporary permit or [certificate] <u>license</u> issued by the Board or the State Board of Osteopathic Medicine and only while working under the supervision of a licensed physician.

§ 18.305. Functions of respiratory [care practitioners] therapists.

(a) Under section 13.1(d) of the act (63 P. S. § 422.13a(d)), a respiratory [care practitioner] <u>therapist</u> may implement direct respiratory care to an individual being treated by either a licensed medical doctor or a licensed doctor of osteopathic medicine, upon [physician] prescription or referral <u>by a physician, certified registered nurse practitioner or physician assistant</u>, or under medical direction and approval consistent with standing orders or protocols of an institution or health care facility. This care may constitute indirect services such as consultation or evaluation of an individual and also includes, but is not limited to, the following services:

\* \* \*

(b) Under section 13.1(d) of the act, a respiratory [care practitioner] <u>therapist</u> may perform the activities listed in subsection (a) only upon [physician] prescription or referral <u>by a physician</u>, <u>certified registered nurse practitioner or physician assistant</u> or while under medical direction consistent with standing orders or protocols in an institution or health care facility.

#### § 18.306. Temporary permits.

(a) A temporary permit will be issued to an applicant, WHO IS NOT YET A LICENSEE, who submits evidence satisfactory to the Board, on forms supplied by the Board, that the applicant has met one or more of the following criteria:

(1) Has graduated from a respiratory care program approved by [the JRCRTE] <u>CoARC</u>.

(2) Is enrolled in a respiratory care program approved by [the JRCRTE] <u>CoARC</u> and expects to graduate within 30 days of the date of application to the Board for a temporary permit.

(3) [Has continuously provided respiratory care services for a minimum of 12 months immediately preceding December 28, 1993] <u>Meets all applicable requirements and is recognized as a credentialed respiratory therapist by the NBRC.</u>

(b) A temporary permit is valid for 12 months and for an additional period as the Board may, in each case, specially determine except that a temporary permit expires if the holder fails the CRTT ENTRY LEVEL CREDENTIALING EXAMINATION. An applicant who fails the CRTT ENTRY LEVEL CREDENTIALING EXAMINATION may apply to retake it.

\* \* \* \* \*

§ 18.307. Criteria for [certification] <u>licensure</u> as a respiratory [care practitioner] therapist.

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Physician Assistant and Respiratory Therapist – State Board of Medicine April 24, 2013

The Board will approve for [certification] <u>licensure</u> as a respiratory [care practitioner] <u>therapist</u> an applicant who:

(1) Submits evidence satisfactory to the Board, on forms supplied by the Board, that the applicant has met one or more of the following criteria:

(i) Has graduated from a respiratory care program approved by [the JRCRTE] <u>CoARC</u> and passed the [CRTT] ENTRY LEVEL <u>credentialing examination</u> as determined by the NBRC.

(ii) [Has been credentialed as a Certified Respiratory Therapy Technician or Registered Respiratory Therapist by the NBRC.

(iii)] Holds a valid license, certificate or registration as a respiratory [care practitioner] <u>therapist</u> in another state, territory or the District of Columbia which has been issued based on requirements substantially the same as those required by the Commonwealth, including the examination requirement.

[(iv) Has continuously provided respiratory care services for a minimum of 12 months immediately preceding December 28, 1993, and has passed the CRTT as determined by the NBRC.]

(2) Has paid the appropriate fee in [the form of a check or money order] <u>a form</u> acceptable to the Board.

§ 18.308. Change of name or address.

A [certificateholder] <u>licensee</u> shall inform the Board in writing within 10 days of a change of name or mailing address.

## § 18.309. Renewal of [certification] licensure.

(a) A [certification] <u>license</u> issued under this subchapter expires on December 31 of every even-numbered year unless renewed for the next biennium.

\* \* \* \* \*

(c) To retain the right to engage in practice, the [certificateholder] <u>licensee</u> shall renew [certification] <u>licensure</u> in the manner prescribed by the Board, complete the continuing education requirement set forth in § 18.309a (relating to requirement of continuing education) and pay the required fee prior to the expiration of the current biennium.

(d) When a [certification] <u>license</u> is renewed after December 31 of an even-numbered year, a penalty fee of \$5 for each month or part of a month of practice beyond the renewal date will be charged in addition to the renewal fee.

§ 18.309a. Requirement of continuing education.

(a) The following continuing education requirements shall be completed each biennial cycle[, commencing with the biennial period ending December 31, 2006]:

(1) An applicant for biennial renewal or reactivation of [certification] <u>licensure</u> is required to complete, during the 2 years preceding the application for renewal or reactivation, a minimum of [20] <u>30</u> hours of continuing education as set forth in section 36.1(f)(2) of the act (63 P. S. § [422.36.1(f)] <u>422.36a(f)(2)</u>).

\* \* \*

(3) [No more than 10] <u>For continuing education [hours may be] obtained through</u> Internet presentations, journal review programs, prerecorded video presentations or similar means of nontraditional education[. To] <u>to</u> AT LEAST 10 CONTINUING EDUCATION HOURS SHALL BE OBTAINED THROUGH TRADITIONAL

CONTINUING EDUCATION SUCH AS CLASSROOM LECTURE, CLINICAL PRESENTATION, REAL-TIME WEB-CAST OR OTHER LIVE SESSIONS WHERE A PRESENTER IS INVOLVED. TO qualify <u>for credit</u>, the provider shall make available documented verification of completion of the course or program.

(4) Commencing with the biennial period ending December 31, 2008, 1 ONE continuing education hour shall be completed in medical ethics, and 1 continuing education hour shall be completed in patient safety.

(5) Credit will not be given for continuing education in basic life support, including basic cardiac life support and cardiopulmonary resuscitation. In any given biennial renewal period, a licensee may receive credit for no more than 8 continuing education hours in advanced life support, including advanced cardiac life support, neonatal advanced life support/neonatal resuscitation and pediatric advanced life support.

(6) A licensee may WILL not receive continuing education credit for participating in a continuing education activity with objectives and content identical to those of another continuing education activity within the same biennial renewal period for which credit was granted.

(b) An individual applying for the first time for [certification] <u>licensure</u> IN THIS COMMONWEALTH is exempt from COMPLETING the continuing education requirement REQUIREMENTS for the DURING THE INITIAL biennial renewal period following initial [certification] <u>licensure</u> IN WHICH THE LICENSE IS ISSUED.

(c) The Board may waive all or a portion of the requirements of continuing education in cases of serious illness, or other demonstrated hardship or military service. It shall be the duty of each [certificateholder] <u>licensee</u> who seeks a waiver to notify the Board in writing and request

the waiver prior to the end of the renewal period. The request must be made in writing, with appropriate documentation, and include a description of circumstances sufficient to show why the [certificateholder] <u>licensee</u> is unable to comply with the continuing education requirement. The Board will grant, deny or grant in part the request for waiver and will send the [certificateholder] <u>licensee</u> written notification of its approval or denial in whole or in part of the request. A [certificateholder] <u>licensee</u> who requests a waiver may not practice as a respiratory [care practitioner] <u>therapist</u> after the expiration of the [certificateholder's] <u>licensee's</u> current [certificate] <u>licensee</u> until the Board grants the waiver request.

(d) A [certificateholder] <u>licensee</u> shall maintain the information and documentation supporting completion of the hours of continuing education required, or the waiver granted, for at least 2 years [from the commencement] <u>after the conclusion</u> of the biennial renewal period to which the continuing education or waiver applies, <u>the date of completion of the continuing</u> <u>education or grant of the waiver, whichever is latest</u>, and provide the information and documentation to representatives of the Board upon request.

§ 18.309b. Approved educational courses.

\* \* \* \* \*

(b) Advanced course work in respiratory care successfully completed at a degree-granting institution of higher education approved by the United States Department of Education which offers academic credits is also approved for continuing education credit by the Board. Advanced course work is any course work beyond the academic requirements necessary for [certification] <u>licensure</u> as a respiratory [care practitioner] <u>therapist</u>. Proof of completion of the academic credits shall be submitted to the Board for determination of number of continuing education hours completed.

(c) The Board will not accept courses of study which do not relate to the clinical aspects of respiratory care, such as studies in office management [and financial procedures] <u>or practice</u> <u>building</u>.

§ 18.310. Inactive status.

(a) A [certificateholder] <u>licensee</u> who does not intend to practice in this Commonwealth and who does not desire to renew [certification] <u>licensure</u> shall inform the Board in writing. Written confirmation of inactive status will be forwarded to the [certificate-holder] <u>licensee</u>.

(b) A [certificateholder] <u>licensee</u> shall notify the Board, in writing, of [his] <u>the licensee's</u> desire to reactivate the [registration] <u>license</u>.

(c) A [certificateholder] <u>licensee</u> who is applying to return to active status is required to pay fees which are due for the current biennium and submit a sworn statement stating the period of time during which the [certificateholder] <u>licensee</u> was not engaged in practice in this Commonwealth.

\* \* \* \* \*

# STATE BOARD OF MEDICINE 16A-4930 – PHYSICIAN ASSISTANTS AND RESPIRATORY THERAPISTS

# LIST OF PUBLIC COMMENTATORS

Eileen Censullo, MBA, RRT Pennsylvania Society for Respiratory Care, Inc. c/o 225 Hampshire Drive Sellersville, PA 18960-2876

Caroll Myers, M.Ed. RRT, RPFT

Debra Lind, Respiratory Care Practitioner

James R. Hinds, Respiratory Care Practitioner



# COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS STATE BOARD OF MEDICINE

Post Office Box 2649 Harrisburg, Pennsylvania 17105-2649 (717) 783-1400

October 29, 2013

The Honorable Silvan B. Lutkewitte, III, Chairman INDEPENDENT REGULATORY REVIEW COMMISSION 14<sup>th</sup> Floor, Harristown 2, 333 Market Street Harrisburg, Pennsylvania 17101

> Re: Final Regulation State Board of Medicine 16A-4930

Dear Chairman Lutkewitte:

Enclosed is a copy of a final rulemaking package of the State Board of Medicine pertaining to Physician Assistants and Respiratory Therapists.

The Board will be pleased to provide whatever information the Commission may require during the course of its review of the rulemaking.

Sincerely,

Andrew J. Behnke, M.D. Chairperson State Board of Medicine

SG/TL:ld

Enclosure

 cc: Kathy J. Barley, Acting Commissioner Professional and Occupational Affairs Patricia Allen, Director of Policy, Department of State Steven V. Turner, Chief Counsel Department of State
 Cynthia Montgomery, Regulatory Counsel Department of State
 Teresa Lazo, Counsel State Board of Medicine
 State Board of Medicine

# TRANSMITTAL SHEET FOR REGULATIONS SUBJECT TO THE REGULATORY REVIEW ACT

I.D. NUMBE	<b>R:</b> 16A-4930		]
SUBJECT:	PHYSICIAN ASSISTANT AND RESPIRATORY THERAPIST		
AGENCY:	DEPARTMENT OF STATE BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS STATE BOARD OF MEDICINE		
	TYPE OF REGULATION	2003 0	53
	Proposed Regulation	NT 29	E E E E E
Х	Final Regulation		
	Final Regulation with Notice of Proposed Rulemaking Omitted	с С	σ
	120-day Emergency Certification of the Attorney General	$\Im$	
	120-day Emergency Certification of the Governor		
	Delivery of Tolled Regulationa.With Revisionsb.Without Revisions		
	FILING OF REGULATION		]
<u>DATE</u>	SIGNATURE DESIGNATION		
	HOUSE COMMITTEE ON PROFESSIONAL LICENSURE		
NEILIPERI	Juchele Warn MAJORITY CHAIR Julie Harhart		
740-743	MINORITY CHAIR		
	SENATE COMMITTEE ON CONSUMER PROTECTION & PROFESSIONAL LICENSURE		
10/29/13	MAJORITY CHAIR Robt. M. Tomlinson		
	MINORITY CHAIR		
10/29/13	K COOPLE INDEPENDENT REGULATORY REVIEW COMMISSION		
	ATTORNEY GENERAL (for Final Omitted only)		
	LEGISLATIVE REFERENCE BUREAU (for Proposed only)		

October 9, 2013