
(10) Is the regulation mandated by any federal or state law or court order, or federal regulation? If yes, cite the specific law, case or regulation, and any deadlines for action.

The proposed amendments are not mandated by federal or state laws or regulations.
(11) Explain the compelling public interest that justifies the regulation. What is the problem it addresses?

The primary public interest served by the proposed amendments is the enhancement of navigational safety on the Delaware River through the codification of such requirements for state-licensed pilots as random drug testing, continuing education and specialized training, and mandatory pilotage trips to maintain current knowledge of river conditions.

The secondary public interest served by the proposed amendments is the elimination of many obsolete and unnecessary regulations form the PA Code.
(12) State the public health, safety, environmental or general welfare risks associated with nonregulation.

A marine accident might occur that could have been prevented if the Commission had imposed the pilot training and safety requirements in the proposed amendments. Given the ever-increasing size of the commercial vessels on the Delaware River, a marine accident is now more likely than ever to have adverse consequences for the public health and safety and the environment.
(13) Describe who will benefit from the regulation. (Quantify the benefits as completely as possible and approximate the number of people who will benefit.)

Because of the importance of marine commerce to the regional, state and local economies, the public in general and the shipping industry in particular would be the beneficiaries of the pilot training and safety requirements in the proposed amendments. There is no way to quantify these benefits.
(14) Describe who will be adversely affected by the regulation. (Quantify the adverse effects as completely as possible and approximate the number of people who will be adversely affected.)

No group will be adversely affected by the regulation.
(15) List the persons, groups or entities that will be required to comply with the regulation. (Approximate the number of people who will be required to comply.)

The proposed amendments affect state-licensed pilots, state-appointed apprentice pilots, and applicants for appointment as apprentice pilots. Pennsylvania law fixes the maximum number of pilots combined in the top four license classes at 52; currently there are 30 active pilots in Pennsylvania. In May 1998, the Commission appointed 9 apprentice pilots in Pennsylvania. From July through October 1998, 9 apprentice pilots appointed by the Commission began their apprenticeship program.
(16) Describe the communications with and input from the public in the development and drafting of the regulation. List the persons and/or groups who were involved, if applicable.

In drafting the proposed amendments, the Commission had extensive consultations with, and input from, The Pilots' Association for the Bay and River Delaware, a business association representing the interests of all state-licensed pilots in Pennsylvania and Delaware. In addition, the Commission consulted with the American Pilots' Association, the United States Coast Guard and the National Transportation Safety Board.
(17) Provide a specific estimate of the costs and/or savings to the regulated community associated with compliance, including any legal, accounting or consulting procedures which may be required.

The costs of compliance for the regulated community cannot be estimated. However, the costs are likely to be minimal because most pilots are already in compliance with the training and safety requirements in the proposed amendments.

(18) Provide a specific estimate of the costs and/or savings to local governments associated with compliance, including any legal, accounting or consulting procedures which may be required.

The proposed amendments would not result in new costs or new savings to local government.
(19) Provide a specific estimate of the costs and/or savings to state government associated with the implementation of the regulation, including any legal, accounting, or consulting procedures which may be required.

This proposal would have no measurable fiscal impact on the Commonwealth, its political subdivisions, or the private sector because the Navigation Commission is supported by fees paid for by licenses. As noted in the preamble to the regulations, many proposed changes will harmonize with current federal regulations and recommendations as well as with stricter regulations now present in Delaware. Although these changes will not have a substantial fiscal impact because many changes have already been implemented by Pennsylvania pilots, there may be a minimal fiscal impact, which cannot be calculated at this time.

## Regulatory Analysis Form

(20) In the table below, provide an estimate of the fiscal savings and costs associated with implementation and compliance for the regulated community, local govemment, and state government for the current year and five subsequent years.

|  | Current FY | FY +1 | FY +2 | FY +3 | FY +4 | FY +5 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| SAVINGS: | $\$$ | $\$$ | $\$$ | $\$$ | $\$$ | $\$$ |
| Regulated | Unestimated | Unest. | Unest. | Unest. | Unest. | Unest. |
| Local Government | 0 | 0 | 0 | 0 | 0 | 0 |
| State Government | Unestimated | Unest. | Unest. | Unest. | Unest. | Unest. |
| Total Savings | Unestimated | Unest. | Unest. | Unest. | Unest. | Unest. |
| COSTS: |  |  |  |  |  |  |
| Regulated | minimal | minimal | minimal | minimal | minimal | minimal |
| Local Government | 0 | 0 | 0 | 0 | 0 | 0 |
| State Government | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Costs | 0 | 0 | 0 | 0 | 0 | 0 |
| REVENUE LOSSES: |  |  |  |  | 0 |  |
| Regulated | 0 | 0 | 0 | 0 | 0 | 0 |
| Local Government | 0 | 0 | 0 | 0 | 0 | 0 |
| State Government | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Revenue Losses | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  |  |  |  |  |

(20a) Explain how the cost estimates listed above were derived.
See paragraph 19.
. . . . . . . . . .
(20b) Provide the past three year expenditure history for programs affected by the regulation.

| Program | FY -3 | FY-2 | FY -1 | Current FY |
| :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

(21) Using the cost-benefit information provided above, explain how the benefits of the regulation outweigh the adverse effects and costs.

See paragraph 19.
(22) Describe the nonregulatory alternatives considered and the costs associated with those alternatives. Provide the reasons for their dismissal.

Nonregulatory alternatives were not considered because it is necessary to update the regulations, as stated above.
(23) Describe alternative regulatory schemes considered and the costs associated with those schemes. Provide the reasons for their dismissal.

Alternative regulatory schemes were not considered because it is necessary to update the regulations, as stated above.


#### Abstract

Regulatory Analysis Form (24) Are there any provisions that are more stringent than federal standards? If yes, identify the specific provisions and the compelling Pennsylvania interest that demands stronger regulation.

The proposed amendments adopt the federal government's standards for random drug testing and mirror federal standards in such areas as radar training. However, Pennsylvania's pilot license laws, like those of other states, impose more stringent license standards than federal pilot license laws. The proposed amendments maintain Pennsylvania's high standards. The Commission is unaware of any interested consitiuency that does not support the highest safety and training standards for state-licensed pilots, especially when such high standards can be realized at minimal cost.


(25) How does this regulation compare with those of other states? Will the regulation put Pennsylvania at a competitive disadvantage with other states?

The proposed amendments would not put Pennsylvania at a competitive disadvantage with other states. Pennsylvania's proposed pilot training and safety requirements are very similar to those of Delaware, which also licenses pilots for the Delaware River. The two states' complementary regulatory schemes has helped maintain the viability of marine commerce on the Delaware River.
(26) Will the regulation affect existing or proposed regulations of the promulgating agency or other state agencies? If yes, explain and provide specific citations.

Except as stated in the preamble, the regulation will not affect existing or proposed regulations of the Navigation Commission. The regulation will not affect other state agencies.
Except as stated in the preamble, the regulation will not affect existing or proposed regulations of the Navigation Commission. The regulation will not affect other state agencies.
(27) Will any public hearings or informational meetings be scheduled? Please provide the dates, times, and locations, if available.

The Navigation Commission discussed the proposed regulations at a number of its public meetings and through informal discussions with different licensees. No other public hearing or informational meetings are scheduled.
(28) Will the regulation change existing reporting, record keeping, or other paperwork requirements? Describe the changes and attach copies of forms or reports which will be required as a result of implementation, if available.

The application forms and renewal notices will be revised to include the requirements of the proposed regulations at 405.7 and 405.12
(29) Please list any special provisions which have been developed to meet the particular needs of affected groups or persons including, but not limited to, minorities, elderly, small businesses, and farmers.

No special provisions have been developed to meet the particular needs of affected groups or persons.
(30) What is the anticipated effective date of the regulation; the date by which compliance with the regulation will be required; and the date by which any required permits, licenses or other approvals must be obtained?

The regulation will become effective thirty days after final rulemaking is published in the Pennsylvania Bulletin.
(31) Provide the schedule for continual review of the regulation.

The Navigation Commission meets semiannually and will continue to review and monitor the effectiveness of its regulations.

FACE SHEET
FOR FILING DOCUMENTS
WITH THE LEGISLATIVE REFERENCE BUREAU
(Pursuant to Commonwealth Documents Law)

42126

$$
n-n \div \cdots-7
$$

$2093 \mathrm{JH} / 4$ FIIR:03
"askaten unhaissicar
9
DO NOT WRITE IN THIS SPACE


Copy below ia hereby certified to be a true and correct copy of a document issued, prescribed or promulgated by:
copy below is approved to form and legality. Executive or Independent Agencies.

(AGENCY)

DOCOMBNT/PISCAL NOTE NO. $\qquad$
MAR 242000
DATE OF APPROVAL
[ ] Check if applicable
Copy not approved.
objections attached.

(Deputy General Counsel (Chief Counsel, tndenandent Agency (Strike inapplicable title)
ritue:Secretary of the Commonmalth (EXECUTIVE OFFICER, CHAIRMAN OR SECRETARY)

Proposed Regulation \# 16A-662

NOTICE OF PROPOSED RULEMARING COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE
NAVIGATION COMMISSION FOR THE DELAWARE RIVER AND ITS NAVIGABLE TRIBUJPARIES 4 Pa. Code, Chapters 401 - 409

## PREAMBLE

The Navigation Commission for the Delaware River and its Navigable Tributaries ("Commission") proposes to amend 4 Pa. Code, Chapters 401 (relating to general provisions), 402 (relating to administration), 403 (relating to construction permits) and 405 (relating to pilots and pilotage), as set forth in the Annex hereto.

This proposal would revise and update four of the six chapters of the Commission's regulations. The Commission's regulations have not been significantly revised since their promulgation in 1977. Revisions to the remaining Chapters 407 (relating to navigation) and 409 (relating to wharves and docks) will be the subject of future rulemaking by the Commission.

This proposal has three objectives:
(1) To enhance navigational safety on the Delaware River through the implementation of requirements for state-licensed pilots such as random drug testing, continuing education, special training in bridge resource management and automatic radar plotting aids, and mandatory pilotage trips to maintain current knowledge of river conditions ("recency-of-route").
(2) To eliminate regulations which are obsolete because of statutory changes affecting the Commission and state-licensed pilots or which are unnecessary because their subject matter is adequately covered by statute.
(3) To give those regulations retained by the Commission better organization and clarity.

## I. Statutory Authority

The Commission has authority to promulgate regulations under Section 4 of the Act of March 29, 1803, P.L. 542, as amended, 55 P.S. §31, and Section 2504-B(4) of the Administrative Code, Act of April 9, 1929, P.L. 177, added by Section 4 of the Act of July 9, 1976, P.工. 980, 71 P.S. §670.2(4).
II. Description of Proposed Revisions
A. Chapter 401: General Provisions

1. §401.1 (Definitions)

Section 401.1 lists definitions of words and phrases that are used in Chapters 401-409 of 4 Pa . Code. The revisions would change the definition of "Department" from "The Department of Commerce of the Commonwealth" to "The Department of State of the Commonwealth" and the definition of "Secretary" from "The Secretary of commerce of the Commonwealth" to "The Secretary of the Commonwealth." These changes are necessary because Section 1 of Reorganization Plan No. 1 of 1982, 71 P.S. §751-37, transferred to the Department of State those powers and duties of the Department of Commerce with regard to the Commission that were not being transferred to the Department of Environmental Protection (DEP). The Secretary of the Commonwealth is the Secretary of the Department of State who chairs the Commission.

## 2. §401.2 (Commission offices)

The proposal amends section 401.2 by identifying the Commission's office at 1400 Spring Garden Street, Philadelphia, as the location of the Philadelphia State Office Building. The revisions identify the Philadelphia state Office Building as the principal office of the Commission and 302 North Office Building, Harrisburg, 17105-2649 as the location of the Commission's administrative office. Section $475(a)$ of the Administrative Code, added by Section 14 of the Act of December 3, 1970, P.L. 834, as amended, 71 P.S. §180-5(a), requires the Commission's principal office to be in Philadelphia.
B. Chapter 402: Administration

1. $\$ 402.5$ (Composition of Commission) and $\$ 4: 02.9$ (Quorum)

These sections set forth the Commission's membership and quorum requirements, respectively, which are found in Section 475
of the Administrative Code, as modified by the Reorganization Plan 1 of 1982. 71 P.S. $\$ 751-37$. The proposal would repeal these sections as unnecessary.
2. $\$ 402.10$ (Standing committees)

The section now provides for standing committees. Because of the transfer of many of the Commission's duties to the Department of Environmental Protection (DEP) (see, Chapter 403, infra), it is not necessary for the Commission to continue to organize itself into standing committees. The revisions would repeal this section.
3. §402.11 (Meetings)

Subsection (a) provides that the Commission's regular meetings are held on the second Tuesday of every month unless cancelled by the Commission chair for lack of business. The proposed revisions provide that the Commission will meet semiannually. Subsection (b) allows the Commission chair to convene special meetings; the revisions would make editorial changes to this subsection. Subsection (c) provides that the chairs of the Commission's standing committees may convene committee meetings. The revisions would repeal this subsection to conform to proposed $\S 402.10$.

## C. Chapter 403: Construction Permits

This chapter, sets forth the procedures by which the Commission formerly issued permits for the construction of piers, wharves, and other harbor structures within the Delaware River and its navigable tributaries under Sections 7 and 8 of the Act of June 8, 1907, P.L. 496, as amended, 55 P.S. §§6-9.

The Dam Safety and Encroachments Act, Act of November 26, 1978, P.L. 1375, as amended, 32 P.S. §693.1 et seq., repealed Sections 7 and 8 of the Act of June 8, 1907 and established a plan for joint approval by the Commission and the Department of Environmental Resources (DER) for permits for dams, water obstructions and encroachments in the commercially navigable waters of the Delaware River and its tributaries. Section 2 of Reorganization Plan No. 1 of 1982 transferred the Commission's functions in this area to DER, which is now the Department of

Environmental Protection (DEP). 71 P.S. §751-37(1). The revisions would repeal this chapter as obsolete.
D. Chapter 405: Pilots and Pilotage

This chapter relates to the Commission's licensure of pilots on the Delaware River and to pilotage fees and other pilotage requirements. Pennsylvania and Delaware, operating under similar enabling statutes, are empowered to license pilots of foreign vessels and vessels that are travelling to or from a foreign port; the Federal Government, through the Coast Guard, licenses pilots of domestic vessels that are travelling to or from a U.S. port.

1. $\$ 405.2$ (Authority)

This section recites an incomplete and outdated list of the Commission's powers and duties under section 4 of the Act of March 29, 1803, Section 2504-B of the Administrative Code, as amended, 55 P.S. § 31, and Section 12 of the Act of June 8, 1907, 55 P.S. §111. The revisions would repeal this section as unnecessary.

The Act of June 23, 1993, P.L. 114, No. 27, § 1 at 55 P.S. § 31 rewrote the Commission's powers and duties. One of the major changes made in Section 31 was that those aggrieved by any decision or penalty imposed by the Commission shall have the right of review under 2 Pa.C.S. Chapter 7, Subchapter A (relating to judicial review of Commonwealth agency action). Previously, the right of review was granted to the court of common pleas of the county of Philadelphia.
2. §405.3 (Applications)

This section currently provides that an applicant for a pilot's license in any class, or for appointment as an apprentice, must appear in person before the commission to obtain an application and specifies the five types of application forms that the Commission uses. The revisions would al.ter this section to provide that an applicant for initial licensure, for renewal of a license, or for appointment as an apprentice must submit an application on a form provided by the Commission.
3. $\$ 405.4$ (Examinations)

This section currently requires an applicant for a fourthclass pilot's license to pass an oral and written examination; no examination is required for the other license classes. Subsection (a) authorizes the Commission to appoint at least three first-class pilots to conduct or develop an oral or written examination for an entry level pilot's license, as authorized by Section 17 of the Act of March 29, 1803, as amended, 55 P.S. $\$ 42$. Subsection (b) lists the topics on which an applicant may be examined and establishes that the applicant obtain at least a passing grade. Subsection (c) provides that the Commission may conduct fucther examinations as necessary to establish an applicant's proficiency.

The 1993 amendments to Section 17 establish the sixth-class license as the new entry-level license. Accordingly, the revisions would make the examination requirement applicable to a sixth-class license instead of a fourth-class license.

The revisions would require an oral examination to be taperecorded and the original recording to be filed with the Commission as part of its permanent records. The revisions also would require that if a written examination is used, the original shall be filed with the Commission.
4. $\S 405.5$ (Classification of pilots)

This section mirrors vessel draft limitations that were in effect for the four license classes prior to the 1993 amendments to Section 17 of the Act of March 29, 1803. The 1993 amendments established new vessel draft limitations for all license classes including the recently-created fifth-class and sixth-class licenses. The revisions would repeal this section as unnecessary.
5. $\$ 405.6$ (Underrate pilot limitations)

This section repeats the outdated vessel draft limitations in $\S 405.5$ and also establishes limitations on pilots of the second, third and fourth classes with regard to gross tonnage and vessel length. The purpose of the tonnage and length limitations was to help pilots in the lower, or underrate, license classes adapt
gradually to the challenges of piloting larger-size vessels. However, with the establishment of the fifth-class and sixth-class licenses in 1993, the tonnage and length limitations are no longer necessary. Accordingly, the revisions would repeal this section.

## 6. §405.7 (Qualifications for license)

The proposed revisions rewrite this section, which sets forth the requirements for licensure in the various pilot classes.

A new subsection (a) would provide that an applicant for a pilot's license in any class must: (1) have served at least one year in each of the license classes below the class of license applied for; (2) (if the applicant is a current-license holder seeking renewal) have piloted at least 52 vessels during the previous license period, unless this requirement is waived by the Commission; (3) have passed a physical examination during the prior six months based on the requirements in $\$ 405.8$ (relating to physical examinations); (4) have participated in a program of random drug testing that meets the standards of Coast Guard regulations at 46 CFR 16.101-16.401; (5) have been qualified as a radar observer; (6) have completed a Commission-approved course in bridge resource management during the prior three years; (7) have completed a Commission-approved course in automatic radar plotting aids (ARPA); (8) post a surety bond in accordance with $\$ 405.13$ (relating to bonding); and (9) pay the required license fee.

The requirement that a license applicant have served at least one year in each license class below the class of license applied for tracks the 1993 amendments to Section 18 of the Act of March 29, 1803, as amended, 55 P.S. §44. The physical examination, radar observer, and bonding requirements are consistent with the current licensure requirements in $\$ 405.7$.

Under the current regulations at $\$ 405.8(\mathrm{~b})$, physical examinations are required annually for pilots over 50 years of age and are required every three years for pilots 50 years of age and under. Under the proposed $\S 405.7(a)(3)$ and $\S 405.12(1)$, all pilots must now pass a physical examination within six months of the date of application for the annual renewal of their license. New
language is also added allowing the Commission to order physical examinations at any time for any cause. These requirements are similar to the rules that the Delaware Board of Pilot Commissioners adopted on April 3, 1996.

Two additional license requirements are also aimed at sharpening a pilot's navigational skills. Mandatory training in automatic radar plotting aids (ARPA) helps a pilot avoid navigational errors caused by overreliance on advanced radar technology. The requirement is consistent with current Coast Guard training regulations. See 46 C.F.R. § $\$ 10.305$ and 10.306. Bridge resource management (BRM) training helps a pilot make optimum use of bridge personnel in piloting a vessel. Both training requirements have been incorporated into the apprentice training program. The ARPA training would not need to be repeated, while the bridge resource management training would be repeated every three years.

Requiring a course in Bridge resource management (BRM) every three years also meets two recommendations of the National Transportation Safety Board (NTSB). The first recommendation was issued as a result of NTSB's investigation of the grounding of the United Kingdom passenger vessel RMS Queen Elizabeth 2 near Cuttyhunk Island, Vineyard Sound, Massachusetts on August 7, 1992. In its investigation, the NTSB found that a critical need existed for improved communication between the pilot, the master and the other crewmembers of the bridge. The course in bridge resource management teaches pilots how to conduct a conference with the master and other crewmembers of the bridge. The NTSB has recognized such courses as fulfilling its requirements for this recommendation.

The second NTSB recommendation was issued as a result of the NTSB's investigation of the grounding of the Liberian passenger vessel Star Princess near Juneau, Alaska on June 26, 1997. In its investigation, the NTSB determined that the probable cause of the grounding was the pilot's poor performance, which may have been exacerbated by chronic fatigue caused by sleep apnea. Also contributing to the accident was the fact that the pilot and watch officers did not practice bridge resource management. All of the American Pilots' Association (APA) approved courses on bridge resource management (BRM) either include a specific module on identifying and countering the effects of fatigue, on both pilots and crew, or cover the subject as a basic consideration in selecting the most effective bridge management practices. Pennsylvania pilots are currently taking APA approved courses on BRM. Because the NTSB in its recommendation asked state pilot Commissions to advise their pilots about the effects of fatigue on performance and about sleeping disorders such as sleep apnea, this course on bridge resource management meets or exceeds this NTSB recommendation.

The new random drug testing requirement is intended to ensure that navigational safety on the Delaware River is not compromised because substance abuse has impaired a pilot in the performance of his or her duties. Coast Guard regulations that took effect October 1, 1991 establish comprehensive standards under which certain categories of marine employers are required to implement random drug testing for crewmembers who serve in positions that affect the safe operation of vessels. The Commission's requirement adopts the federal standards for drug testing. Since 1986, Pennsylvania pilots and apprentices have participated in a program of random drug testing, consistent with federal standards. Voluntary participation has been coordinated through The Pilots' Association for the Bay and River Delaware, a business association that represents all state-licensed pilots in Pennsylvania and Delaware.

A new subsection (b) would require an instial applicant for a first-class license, in addition to meeting the requirements of subsection (a), to have completed 40 hours of continuing education in navigation, vessel handling or related topics during the previous five years. Courses in bridge resource management, radar observer and automatic radar plotting aids (ARPA) could be counted toward the 40 -hour total. The new continuing education requirement follows a recommendation made by the American Pilots Association, a trade group representing all state pilot associations, and parallels a similar requirement adopted by the Delaware Board of pilot Commissioners. In order to provide sufficient notice to pilots so that they can meet this new continuing education requirement, this requirement would take effect: two years after the date of final publication of the regulations in the Pennsylvania

Bulletin.
The new subsection (b) also would require an initial applicant for a first-class license to appear before the Commission for a personal interview regarding the applicant's qualifications.

A new subsection (c) would require an applicant for a sixthclass license, in addition to meeting the requirements of subsection (a), to have scored a passing grade or better on an examination conducted or developed by first-class pilots in accordance with §405.4. Prior to the examination, the applicant would have to be at least 21 years of age, be within three months of completing a Commission-approved apprenticeship, and have acquired a federal pilot's license for the Delaware River issued by the Coast Guard. The age and apprenticeship requirements are set forth in Section 18 of the Act of March 29, 1803, as amended by the Act of June 23, 1993, P.L. 114, No. 27, §3, at 55 P.S. §44. The acquisition of a federal pilot's license is already a part of the Commission's comprehensive apprentice training program. The written examination and other requirements related to the federal pilot's license are useful training tools in helping an apprentice prepare for the more rigorous requirements associated with state licensure. An applicant would not be required to maintain a federal pilot's license after issuance of the entry-level state license.

A new subsection (d) contains the "Recency-of-Route" requirement, also known as the " 52 -vessel rule," which requires an applicant for a pilot's license to have piloted at least 52 vessels during the preceding license period, if the applicant is a current license-holder. The requirement ensures that a pilot has current knowledge of river conditions, which are subject to frequent change, by compelling the pilot to make a minimum number of pilotage trips during each license period. A new subsection (d) (2) would permit the Commission to waive the requirement for illness, disability or other good cause that prevents a pilot from piloting the minimum number of vessels. If a waiver is granted, the Commission would be authorized to withhold issuance of the license until the pilot has completed such refresher trips, in the company of a first-class pilot, as the Commission deems necessary in order to refamiliarize the pilot with conditions along the route.

The recency-of-route requirement and the provisions for waiver and refresher trips are similar to rules and adopted by the Delaware Board of Pilot Commissioners in January 1987. Moreover, the use of refresher trips is compatible with the 1993 amendments to Section 14 of the Act of March 29, 1803, as amended, 55 P.S. § 71, which authorized the Commission to condition a pilot's return to active status from inactive status upon the completion of refresher trips in the company of a first-class pilot.
7. §405.8 (Physical [examinations] qualifications)

Subsections (1) and (2) specify the visual and hearing qualifications for a pilot. Visual acuity of $6 / 6$ refers to the metric system of measurement, and is equivalent to $20 / 20$ vision.

The proposed amendments to subsection (3) are based on a recommendation of the National Transportation Safety Board (NTSB) as a result of its investigation of the grounding of the Liberian passenger vessel Star Princess near Juneau, Alaska on June 26; 1997. The NTSB recommended that state navigation Commissions review the medical standards, guidelines and examination forms to ensure that they require the disclosure and appropriate evaluation of the history or presence of any medical conditions, symptoms, or medication use that would affect an individual's fitness to pilot a vessel. Subsection (3) now includes as a physical qualification the absence of any medical condition that may directly affect one's ability to pilot a vessel safely.

When pilots licensed by the Navigation Commission renew their license annually, they customarily submit as proof of a physical examination the "Merchant Marine Personnel Fhysical Examination Report," of the United States Coast Guard, Form CG-719K (Rev. 395). Question 14 on this form addresses medical conditions and symptoms that could affect an individual's fitness to pilot a vessel. Question 15 asks the pilot about medications taken, including dosage, purpose and side effects. Thus, completion and submission of this Coast Guard form meets the NTSB recommendation for disclosure and appropriate evaluation of the history or presence of any medical conditions, symptoms, or medication use that would affect an individual's fitness to pilot a vessel.

The revisions make the requirements in subsection (a) applicable to apprentices as well as pilots and delete subsection (b) in light of the revisions to $\$ 405.7$ and $\$ 405.12$ (relating to renewal of license). The revisions require every pilot, regardless of age, who is applying for initial issuance of a license or annual renewal of a license to have undergone a physical examination within six months of making application. The revisions also would make editorial changes to subsection (a).

## 8. $\S 405.9$ (Apprentices)

This section would fix the term of an apprenticeship at a maximum of four years; establish the number of trips an apprentice must make during an apprenticeship; and provide for the submission of apprentice reports by pilots to the joint Pennsylvania/Delaware Apprentice Pilot Training Program Administrator, who shall consolidate the reports and file reports with the Commission.

The proposed revisions to this section mirror the 1993 amendments to Section 18 of the Act of March 29, 1803, 55 P.S. §44. A new subsection (a) requires an applicant for an apprenticeship to (1) be of good moral character; (2) have acquired a bachelor's degree from a maritime academy or an accredited college or university or have acquired at least a third mate's license issued by the Coast Guard to serve on all oceans aboard vessels of any gross tons; and (3) have passed a physical examination during the prior six months based on the requirements of $\$ 405.8$. The new standards are sufficiently broad to allow even individuals without prior maritime experience or education to be considered for apprentice openings.

A new subsection (b) requires every apprentice to serve a four-year apprenticeship. An apprentice who possesses at least a third mate's license at the time of appointment, however, may serve a three-year apprenticeship. The new subsection (b) also provides that an apprenticeship consists of both theoretical education and supervised practical experience needed for licensure as a sixthclass pilot. Topics comprising the theoretical education must include those covered by the sixth-class pilot's examination in $\S 405.4$. The new subsection (b) also increases from 350 to 500 the
number of trips that an apprentice must make with a pilot licensed by Pennsylvania or Delaware. Finally, subsection (b) also requires pilots who train apprentices to file reports with the Joint Pennsylvania/Delaware Apprentice Pilot Training Program Administrator. When apprentices are in the program, the Administrator will provide reports to the Commission at Commission meetings, which are held twice a year.
9. $\$ 405.10$ (Term of license)

This section contains editorial changes and establishes the term of a pilot's license at one year, subject to renewal by the Commission.
10. $\$ 405.11$ (Nondiscrimination)

The revisions make editorial changes to this section, which provides that no person shall be denied licensure as a pilot, refused appointment as an apprentice; or be discriminated against in the provision of pilotage services because of race, religion, national origin, sex or age.
11. $\$ 405.12$ (Renewal of license)

This section provides that a pilot is entitled to renew his or her license each year unless disqualified by the Commission and specifies the type of form that must be submitted for license renewal.

The revisions would rewrite this section by requiring a pilot who is applying for annual renewal of a license to meet the same requirements as a pilot who is applying for initial licensure under subsections (a) and (b) (1) of §405.7.

All first class pilots must attain 40 hours of continuing education every 5 years in order to maintain their knowledge and skills. Because such courses are only available at limited locations out of this Commonwealth in one or two day courses, it is not practical to provide for an annual continuing education requirement. Pilots in the sixth, fifth, fourth, third and second class will generally move from one class to another within a year.

Thus, the pilots in classes below the first class will take their continuing education requirements in order to meet the initial requirement for a first class pilot in $\$ 405.7(b)(1)$ within a five year period. In order to provide sufficient notice to pilots so that they can meet this new continuing education requirement, this requirement would take effect two years after the date of final publication of the regulations in the Pennsylvania Bulletin.
12. $\$ 405.14$ (Number of pilots and apprentices)

This section states that the maximum number of pilots in the first and second classes combined may not exceed 42 , that the maximum number of pilots in the third and fourth classes combined may not exceed 10 , and that the number of apprentices is within the Commission's discretion. These provisions are contrary to Section 6 of the Act of May 11, 1889, P.L. 188, as amended, 55 P.S. $\$ 41$, which, as last revised in 1977 , provides that the maximum number of pilots in the first class may not exceed $4: 2$, that the maximum number of the pilots in the second, third and fourth classes combined may not exceed 10 , and that the number of apprentices is within the Commission's discretion. There is no statutory limitation on the number of pilots in the fifth and sixth classes. The revisions would repeal this section as unnecessary.
13. $\$ 405.16$ (Acting as pilot without license)

This section recites the criminal penalty for unlicensed pilotage of vessels in excess of 75 gross tons. The 1993 amendments to Section 17 of the Act of March 29, 1803, as amended, raised the tonnage limit for unlicensed practice to 100 gross tons and also set forth new civil penalties that the Commission may impose for unlicensed practice. The revisions would repeal this section as unnecessary.
14. $\$ 405.17$ (Offering of services)

This section provides that the first pilot who offers pilotage services to an in-bound vessel from a foreign port is entitled to take charge of the vessel if the size of the vessel is within the capacity of the pilot's license classification. The language of this section is taken verbatim from section 21 of the Act of March

29, 1803, as amended, 55 P.S. §171, which was last amended in 1907. The revisions would repeal this section as unnecessary.
15. §405.18 (Vessels required to take a pilot)

Subsection (a) sets forth the requirement that vessels bound to or from a foreign port are obligated to accept the services offered by a state-licensed pilot unless exempt by law. Subsection (b) provides that a vessel not subject to compulsory state pilotage that voluntarily accepts the pilotage services of a state-licensed pilot may not be required to pay a pilotage fee that exceeds the fee for compulsory state pilotage.

Because these and other requirements relating to compulsory state pilotage are fully set forth in the 1993 amendments to Section 29 of the Act of March 29, 1803, as amended, 55 P.S. §172, and the 1969 amendments to Section 4 of the Act of May 11, 1889, P.L. 188, as amended, 55 P.S. §174, the revisions would repeal this section as unnecessary.
16. §405.19.1 (Docking, undocking and anchoring of vessels)

This new section codifies a May 1989 resolution of the Commission requiring a state-licensed pilot to remain on the vessel's bridge, attentive to duty, until the vessel is docked or anchored. The docking, undocking and anchoring of a vessel is within the scope of a pilot's duties, and he or she has been trained to perform these tasks. However, it is not uncommon for a vessel's master to employ the services of $a$ docking master to conduct docking or anchoring maneuvers. This section clarifies that when a vessel's master has retained a docking master, the pilot must remain aboard vessel, available to render advice or supervision, until the docking or anchoring procedure has been completed. The Delaware Board of Pilot Commissioners has adopted a similar rule. The use of the term "state-licensed pilot" refers to a pilot in the Delaware Bay and river and is used to distinguish such a pilot from a "docking master" or a "docking pilot."
17. §405.21 (Accident reports)

The proposed subsection (a) requires a pilot involved in a marine accident to submit within five (5) dalys to the Commission a written report of the accident if the accident involves a stranding or grounding, loss of life, injury resulting in hospitalization for more than 72 hours, damage affecting the seaworthiness or efficiency of the vessel, on any damage in excess of $\$ 1,500$. The Delaware Board of Pilot Commissioners has adopted a similar rule to the proposed rule, and the Coast Guard has a similar rule at 46 C.F.R. § 4.05-10 (a).

Subsection (c) requires the accident report to set forth the location, date and time of the accident, the vessels or objects involved in the accident, the weather and sea conditions at the time of the accident, the nature of the accident, and the damage caused by the accident. Subsection (c) also provides that a pilot may satisfy the reporting requirement by submitting a copy of a completed Coast Guard vessel casualty or accident form.

The revisions make editorial changes to subsection (a) and rewrite subsection (b) to provide that in addition to a written report, a pilot must make a telephonic report of an accident to the Commission within 24 hours if the accident involves loss of life, a collision or grounding, or an oil spill. The purpose of the telephonic report is to enable the Commission to conduct an immediate post-casualty investigation of a serious accident. The Delaware Board of Pilot Commissioners has adopted a similar rule. The revisions make editorial revisions to current subsections (b) and (c) and recodify them as subsections (c) and (d), respectively.
18. $\$ 405.27$ (Rates for pilotage between Capes and ports) and $\$ 405.28$ (Rates for pilotage between Capes and breakwater)

These sections reflect out-of-date pilotage rates formerly set forth in Sections 3.1 and 3.2 of the Act of May 11, 1889, added by Section 2 of the Act of December 23, 1977, P.L. 354, as amended, 55 P.S. §§173.1 and 173.2. The pilotage rates have been statutorily adjusted by the Pennsylvania General Assembly several times since 1977, most recently in 1998. The revisions would repeal these sections as unnecessary.
19. $\$ 405.29$ (Disabled Vessels)

This section concerns the method for determining the additional compensation to be paid to a pilot for conducting disabled vessels to or from port. It is based on the original language of Section 28 of the Act of March 29, 1803, which was amended in 1993. Because basic pilotage rates and increased rates for piloting disabled vessels are set forth in statute, the revisions would repeal this section as unnecessary.
20. §405.31 (Extraordinary services)

This section lists an incomplete and outclated schedule of fees for special pilotage services. These special services include: transportation of vessels on the river by geographic location; adjustment of a compass or calibration of a direction finder; and cancellation of sailing orders. The current fees are set forth in Section 3.3 of the Act of May 11, 1889, added by Section 2 of the Act of June 13, 1990, P.L. 232, as amended, 55 P.S. §173.3. The revisions would repeal this section as unnecessary.

## 21. §405.33 (Neglect of duties)

This section tracks the pre-1993 version of Section 19 of the Act of March 29, 1803, by requiring a pilot to forfeit his or her license if he or she refuses or willfully neglects to perform the duties of a pilot during any two-week span, or if a pilot enters into a "combination with a view of preventing another person from executing his duties." The 1993 amendments to Section 19 repealed these archaic anti-strike and anti-competition provisions. Accordingly, the revisions would repeal this section as obsolete.

## III. Fiscal Impact

This proposal would have no measurable fiscal impact on the Commonwealth, its political subdivisions, or the private sector because the Navigation Commission is supported by fees paid for by licenses. As noted above, many proposed changes will harmonize with current federal regulations and recommendations as well as with stricter regulations now present in Delaware. Although these changes will not have a substantial fiscal impact because many
changes have already been implemented by Pennsylvania pilots, there may be a minimal fiscal impact, which cannot be calculated at this time.
IV. Paperwork Requirements

This proposal would require the Commission to revise its application forms for pilot's licenses and for apprentice appointments. However, the amendments would not create new paperwork for other agencies of the Commonwealth, political subdivisions of the Commonwealth, or the general public.

## V. Regulatory Review

On fure 14, 2000, as required by Section $5(a)$ of the Regulatory Review Act (71 P.S. §745.5(a)), the Commission submitted copies of this notice of proposed rulemaking to the Independent Regulatory Review Commission ("IRRC"), the Senate Standing Committee on Consumer Protection and Professional License, and the House Standing Committee on Professional Licensure. The Commission also provided the IRRC and the Committees with copies of a regulatory analysis form prepared by the Commission in compliance with Executive Order 1996-1 (relating to regulatory review and promulgation). Copies of the regulatory analysis form are available to the public upon request.

If the IRRC has objections to any of the amendments, it will notify the Commission within 30 days after the close of the public comment period, specifying the regulatory review criteria that have not been met. The Regulatory Review Act sets forth procedures for review, prior to final adoption of the amendments, by the IRRC, the General Assembly and the Governor of objections raised.

## VI. Public Comment

The Commission invites interested persons to submit written comments, suggestions or objections regarding this proposal to L . Lawrence Boyle, Deputy Chief Counsel, Department of State, 302 North Office Building, Harrisburg, Pennsylvania 17120, within 30 days following publication of this notice of proposed rulemaking in the Pennsylvania Bulletin.

# BY THE NAVIGATION COMMISSION FOR THE DELAWARE RIVER AND ITS NAVIGABLE TRIBUTARIES 

## Kim Pizzingrilli, Chairperson Secretary of the Commonwealth

## ANNEX <br> TITLE 4. COMMERCE AND TRADE <br> PART XIII. NAVIGATION COMMISSION FOR THE DELAWARE RIVER AND ITS NAVIGABLE TRIBUTARIES

Chap. ..... Sec.
401. GENERAL PROVISIONS ..... 401.1
402. ADMINISTRATION ..... 402.2
403. CONSTRUCTION PERMITS ..... 403.1
405. PILOTS AND PILOTAGE ..... 405.1
407. NAVIGATION ..... 407.1
409. WHARVES AND DOCKS ..... 409.1

CHAPTER 401. GENERAL PROVISIONS

## §401.1. Definitions.

The following words and terms, when used in this part, shall have the following meanings unless the context clearly indicates otherwise:

Department -- The Department of [Commerce] State of the Commonwealth.

Secretary -- The Secretary of [Commerce of] the Commonwealth.
§401.2. Commission offices.
(a) The [address of the] principal office of the Commission is [as follows: Navigation Commission for the Delaware River] located in the Philadelphia State office Building, 1400 Spring Garden Street, Philadelphia [, Pennsylvania 19130].
(b) The administrative office of the commission is located

# at 302 North Office Building, Harrisburg 17105-2649. 

## CHAPTER 402. ADMINISTRATION

## §402.5. [Composition of Commission.] (Reserved).

[The Commission will consist of nine members as follows:
(1) Four appointed by the Governor, by and with the advice and consent of a majority of the members of the Senate:
(i) Two of whom shall be resjdents of Delaware County.
(ii) One of whom shall be a resident of Bucks County.
(iii) One of whom shall be a resident of Philadelphia County.
(2) Two appointed by the Mayor of the city of Philadelphia.
(3) One to be the Secretary of the Department of Environmental Protection or designee who will serve ex officio.
(4) One to be the Director of Commerce of the City of Philadelphia who shall serve ex officio.
(5) One to be secretary or a designee.]
§402.9. [Quorum.] (Regerved).
[A majority of the Commissioners, appoint:ed by the Governor and the Mayor of the City of Philadelphia, will constitute a
quorum for the transaction of business.]
§402.10. [Standing committees.] (Reserved).
[(a) The standing committees of the Commission will be as follows:
(1) Committee on Navigation and Pilotage, which will conduct hearings and make recommendations to the Commission on matters related to Chapters 205 and 207 of this title (relating to pilots and pilotage and navigation).
(2) Committee on Construction, wharves and docks, which will conduct hearings and make recommendations to the Commission on matters related to Chapters 203 and 209 of this title (relating to construction permits and wharves and docks).
(b) Chairpersons and members of standing committees will be appointed by the Chairperson.]
§402.11. Meetings.
(a) The regular meetings of the Commission will be [on the second Tuesday of every month unless cancelled by the Chairman for lack of business to transact, with] held semiannually after public notice [made] as required by law.
(b) Special meetings may be called by the [Chairman] Chairperson after three days notice [given] to the [members of the] Commission and after public notice [made] as required by law.
[(c) Meetings of the committees may be called by committee chairmen after three days notice given to the members of the committees and public notice made as required by law.]
[CHAPTER 403. CONSTRUCTION PERMITS]
[GENERAL PROVISIONS]

## Sec.

| 403.1. | [Definitions.] | (Reserved). |
| :--- | :--- | :--- | :--- |
| 403.2. | [Authority.] | (Reserved). |
| 403.3. | [Purpose.] | (Reserved). |
| 403.4. | [Scope.] | (Reserved). |
| 403.5. | [Exception.] | (Reserved). |

[APPLICATION PROCEDURE]
403.11. [Construction Permit.] (Reserved).
403.12. [Application form.] (Reserved). 403.13. [Application requirements.] (Reserved). 403.14. [Fee.] (Reserved).
[APPROVAL PROCEDURE]
403.21. [Public notice.] (Reserved).
403.22. [Public hearing.] (Reserved).
403.23. [Approval.] (Reserved).
403.24. [Conditions.] (Reseryed).
403.25. [Unauthorized construction.] (Reserved).
[GENERAL PROVISIONS]

## §403.1. [Definitions.] (Reseryed).

[The following words and terms, when used in this chapter, shall have the following meanings unless the context clearly indicates otherwise:

Act -- The Act of June 8, 1907 (P.L. 496, No. 322) (55 P.S. §§1-16).

Construct or construction -- Any construction including, but not limited to, erection, extension alteration, improvement or repair of any wharf, pier, dock, bulkhead, slip, basin, bridge, submerged pipe, conduit, aerial crossing, tunnel, fill, dredging
or other harbor structure.
Into the River -- Encroachment beyond the mean high waterline.

Person -- Including but not limited to any individual or organized group of any character including partnerships, associations, corporations, as well as local instrumentalities including authorities and corporations therecf.

Work -- Series of tasks necessary for completion of construction including preliminary administrative tasks required by governmental bodies, such as submission of application for permits, licenses or other approvals.]
§403.2. [Authority.] (Reserved).
[Section 5 of the act ( 55 P.S. §5) authorizes the Commission to adopt and promulgate rules and regulations for construction within the River.]
§403.3. [Purpose.] (Reserved).
[The purpose of this chapter is to interpret and make specific the provisions of sections 5-8, and 11 of the act (55 P.S. §§5-8, and 11) and to establish procedures for the application for and issuance of construction permits for construction into or on the River.]
§403.4. [Scope.] (Reserved).
[This chapter applies to construction over, on or into the River.]
§403.5. [Exception.] (Reserved).
[For the purposes of this chapter, the Commission will not have jurisdiction within any city of the first class.]
[APPLICATION PROCEDURE]
§403.11. [Construction permit.] (Reserved).
[A person may not construct on, into or over the River without first obtaining a construction permit from the Commission.]
§403.12. [Application form.] (Reserved).
[Application for a construction permit shall be made upon a completed Form DC-750 (9/76), "Application for Construction Permit," in triplicate, obtainable from the Commission office.]
§403.13. [Application requirements.] (Reserved).
[The following items shall be submitted with the application form:
(1) A copy of a deed or other evidence of title, evidencing ownership of the property on which proposed construction is to be made, including, but not limited to, proof that the applicant has the power to acquire such property by the power of eminent domain for purposes of the construction.
(2) Three copies of a location plan, to scale, certified by a registered Commonwealth engineer or surveyor, showing the following:
(i) The exact location and dimensions of the intended construction with relation to the riparian property lines of the applicant and the established bulkhead, pierhead and channel lines.
(ii) The location of the property with relation to streets or other recognizable landmarks.
(iii) The mean high waterline and the mean low waterline.
(iv) The depth of water, by soundings,
in the vicinity of the proposed improvements.
(v) The adjacent wharves, bulkheads or other harbor structures.
(vi) The identity and mailing address of the adjoining riparian owners.
(vii) The direction of current or tide.
(3) Three copies of construction plans prepared by a Commonwealth registered professional engineer or Commonwealth registered architect showing the following:
(i) Specifications showing fully the proposed construction.
(ii) Evidence showing the proposed construction is not likely to fail and become a danger or obstruction to navigation.
(iii) If dredging or dumping is proposed, the exact location of the work, the depth to which the dredging is to be carried, the approximate amount of material to be removed, and explanation as to how and where the dredged material is to be deposited.
(iv) A project statement containing a narrative description of the project and its purpose.]
§403.14. [Fee.] (Reserved).
[Every application, with the exception of an application from the Commonwealth or any agency thereof, shall be accompanied by a filing fee of $\$ 5.00$, to be paid into the State Treasury. The payment is to be made in the form of a check made payable to Pennsylvania Department of Commerce.]

## [APPROVAL PROCEDURE]

§403.21. [Public notice.] (Reserved).
[(a) Upon receipt of an application, the Commission will instruct the applicant to give notice of the time and place of hearing the application to all parties interested, by advertising twice a week for 2 successive weeks, at the expense of the applicant, in two newspapers of general circulation published within the county in which the work is to be done. The applicant must furnish proof of the public notice at the hearing.
(b) The Commission will give public notice by publishing notice in the Pennsylvania Bulletin and by posting the notice upon the premises referred to in the application.
(c) The notices referred to in this section shall state the name of the applicant, the location and brief description of construction for which application is made, and the time and place for the public hearing.]
§403.22. [Public hearing.] (Reserved).
[A construction permit will not be granted without hearing by the Commission of all interested persons present at the hearing.]
§403.23. [Approval.] (Reserved).
[If the Commissioners upon hearing, will approve the plans and specifications offered in the application, they will give their assent, issue a Construction Permit, Form DC-751 (9/76), for which application shall have been made, and cause the same to be recorded in the office of the Commission in a book to be kept for that purpose.]
§403.24. [Conditions.] (Reseryed).
[(a) Construction permits granted will contain a condition imposing upon the licensee the duty of keeping slips or docks free and clear of silt and other deposits or refuse, so as to
maintain a navigable depth therein to the extent fixed in the permit.
(b) In all cases where any construction permit will be given, the person to whom the permit will be granted shall, within 6 months from the date of the permit, commence the work for which the permit will have been granted and shall prosecute the work with due diligence to completion; otherwise the permit will become void.
(c) Construction shall be in accordance with the plans submitted to and approved by the Commission.
(d) The issuance of a construction permit by the Commission does not relieve the applicant of securing the other permits or approvals required by other governmental bodies.
(e) Permits are granted with the condition that it is understood and agreed that the licensee shall, at all times, hold the Commonwealth, including the Commission and their officials and employees, harmless for any claims, suits, legal expenses and judgments which anyone may bring against the Commonwealth, including the Commission and their officials and employees, and against the licensee or any of its officers and employees for any conditions occurring on property caused or alleged to be caused by conditions arising from any and all work performed under a permit. The licensee agrees that it shall reimburse the Commonwealth for any expense to which it has been put, including legal fees, engineering fees, expert witness fees, and any judgment rendered against the Commonwealth, as a result of claims filed or suits brought against the Commonwealth, its officers, or its employees by anyone alleging conditions of damage arising because of the work of the licensee.
(f) The Commission may establish such other conditions as it deems necessary for granting of a construction permit. The additional conditions shall be placed on the back of Form DC-751 (9/76), Construction Permit, at the time of approval.]
§403.25. [Unauthorized construction.] (Reserved).
[If a person constructs without permit having been obtained from the Commission, the structure shall be deemed a public or common nuisance, and the person shall be guilty of maintaining a nuisance and, upon conviction, shall be sentenced to pay a fine of $\$ 500$ or to suffer an imprisonment of 6 months, or both, according to the discretion of the court; and, where the nuisance shall be in existence at the time of the conviction and sentence, it shall be lawful for the court, in its discretion, to direct either the defendant or the sheriff of the proper county, at the expense of the defendant, to abate the same.]

CHAPTER 405. PILOTS AND PILOTAGE

## §405.2. [Authority.] (Reserved).

[(a) Section 4 of the act of March 29, 1.803 (P.L. 542, 2 Sml
67) (55 P.S. §31) authorizes the Commissioners the full power and authority, under the limitations prescribed in the act, to grant. licenses to persons to act as pilots in the River and to make rules for the government while employed in that service, to decide, on application of the parties in interest, all differences which may arise between masters, owners and consignees of ships or vessels, and pilots, and to make, ordain and publish such rules and regulations with such penalties for the breach thereof in respect of the matters aforesaid, as they shall deem fitting and proper.
(b) Section 12 of the act of June 8, 1907 (P.L. 469, No. 317) (55 P.S. § 111) authorizes the Commission to take the necessary action to enforce the laws of the Commonwealth pertaining to pilots and pilotage in the bay and River.
(c) Section 4 of the act of 1976 authorizes the Commission to do the following:
(1) Develop, implement and administer a pilot licensure testing program.
(2) Maintain a complete set of records relating to
the licensure of pilots.
(3) Impose fines and penalties including revocation of pilot's license for violation of Commission rules and regulations.]
§405.3. Application for licensure or apprenticeship.
[(a) Every person not now a licensed pilot, and who desires to exercise the profession of pilot in the River shall appear in person before the Commission for an application for a license to entitle him to follow that occupation.] An applicant for initial issuance of a pilot's license, for renewal of a pilot's license, or for appointment as an apprentice shall submit an application on a form provided by the commission.
[(b) Application for appointment as an apprentice pilot shall be made upon a completed Form DC-752 (10-76) (Application for Appointment as Apprentice Pilot).
(c) Application by an apprentice for a license shall be made upon a completed Form DC-753 (10-76) (Application for Fourth Class Pilot's License).
(d) Application by a fourth class pilot for a third class license shall be made upon a completed Form DC-754 (10-76) (Application for Third Class Pilot's License).
(e) Application by a third class pilot for a second class license shall be made upon a completed Form DC-755 (10-76) (Application for Second Class Pilot's License).
(f) Application by a second class pilot for a first class license shall be made upon a completed Form DC-756 (10-76) (Application for First Class Pilot's License).]
§405.4. Examination for sixth-class license.
(a) The Commission will [call to their assistance as many pilots of the first class as they may see fit, not less
than three in number, to examine every person so applying as to his fitness in all respects to perform the duties of a pilot] designate at least three first-class nilots to conduct or develop an oral or written examination of an applicant for a sixth-class pilot's license for the purpose of ascertaining the applicant's fitness to perform the duties of a pilot. The Commission will fix the date, time, manner and place of the examination.
(b) [Applicants for a fourth class license must pass a written and oral examination with a score of at least 90\%.] The examination for a sixth-class pilot's license may consist of, but not be limited to, the following topics:
(1) Inland and pilot rules.
(2) Aids to navigation.
(3) Courses, distances and distances passed abeam at change of course points between given points.
(4) Important and essential cable areas.
(5) Dredged channel widths and depths.
(6) Bridge signals, widths, regulations and closing periods.
(7) Ship handing, docking problems, seamanship by actual observation, use of tow boats and anchors.
(8) [Rules] Regulations of the Commission.
(9) Anchorage locations.
(10) Duties of a pilot.
(11) Relationship between master and pilot.
(12) Practical operation and use of marine radar,
including use of maneuvering board.
(13) Currents and tides.
(14) Dock headings, lengths, depths of water alongside, pier locations and berth numbers.
(15) United States Government Public Health Quarantine regulations.
(16) Prohibited areas, restricted areas, explosive anchorages.
(17) Chart knowledge, including chart symbols and abbreviations.
(18) Use of navigational and bridge instruments.
(19) Engine order and rudder commands for the following:
(i) United States merchant vessels.
(ii) United States naval vessels.
(iii) Foreign flag merchant vessels.
(20) Ranges for determining error in channel ranges.
(c) [Such further examination as the Commission may consider necessary to establish the proficiency of the applicant may be required.] Each oral examination shall be tape-recorded, and the original copy of the recording shall be filed with the Commission as part of its permanent records. In the event a written examination is given, the original copy of the written examination shall be filed with the Commission as part of its permanent records.
§405.5. [Classification of pilots.] (Reserved).
[(a) Pilots of the first class. Persons capable of piloting
ships or vessels of any practicable draught of water.
(b) Pilots of the second class. Persons capable of piloting ships and vessels drawing 34 feet of water or under.
(c) Pilots of the third class. Persons capable of piloting ships or vessels drawing 27 feet of water or under.
(d) Pilots of the fourth class. Persons capable of piloting ships or vessels drawing 23 feet of water or under.]
§405.6. [Underrate pilot limitations.] (Reserved).
[(a) Fourth class pilots shall be subject to the following limitations:
(1) For the first 35 ships; 9,500 gross tons, 575
feet length overall and 23 fee draft.
(2) For the next 35 ships; 15,000 gross tons, 625
feet length overall and 23 feet draft.
(3) Then: 18,000 gross tons, 675 feet length overall and 23 feet draft.
(b) Third class pilots shall be subject to the limitations of 30,000 . gross tons and 27 feet draft.
(c) Second class pilots -- first year -- shall be subject to the limitations of 40,000 gross tons and 34 feet draft.
(d) Second-class pilots -- second year -- shall be subject to a limitation of 34 feet draft.]
§405.7. Qualifications [of] for [pilots] license.
[(a) A license may not be granted to any person to act as a pilot of the fourth class unless he has reached the age of 18 and has served a regular apprenticeship of 4 years on board a pilot boat.
(b) A license of the third class may not be granted to any person, unless he shall have served at least 1 year as a fourth class pilot.
(c) A person may not be eligible for a license of the second class who has not served 1 year in the fourth class and at least 1 year in the third class.
(d) A person may not be eligible for a license of the first class who has not served a total of at least 4 years in the second, third and fourth classes, with at least 2 years of such service having been served in the second class.
(e) A license may not be granted to or renewed for any person to act as a pilot of any class unless he is qualified as a radar observer as evidenced by on of the following:
(1) A current Federal pilot's license issued by the United States Coast Guard containing an endorsement that the licensee is so qualified; or
(2) A certificate issued by the New York Maritime Administration Office or other certification acceptable to the Commission, dated not more than 5 years prior to the date on which the license is to be granted, evidencing satisfactory completion of the course of instruction for radar observers.]
(a) An applicant for the initial issuance of a pilot's license in any class shall:
(1) Except for first-time applicants for a sixth class license, have served at least one year in each of the license classes below the class of license applied for.
(2) Have complied with the provisions of subsection(d).
(3) Have passed a physical examination within six
months of the date of application based on the requirements of $\$ 405.8$ (relating to physical gualifications), as evidenced by a physician's statement. Physical examinations may also be ordered by the commission for any pilot at any time for any cause.
(4) Have participated in a program of random drug testing during the preceding license period that meets the standards of Coast Guard Regulations at 46 CFR 16.101-16.401.
(5) Have been qualified as a radar observer, as evidenced by one of the following:
(i) A radar observer endorsement on a current federal pilot's license.
(ii) A certificate issued by a Coast Guard-approved authority reflecting that the certificate-holder satisfactorily completed a course of instruction for radar observers, within five years of the date of application.
(6) Have completed a Commission-approved course in bridge resource management within three years of the date of application or renewal.
(7) Have completed a Commission-approved course in automatic radar plotting aids (ARPA) once in a pilot's career.
(8) Post a surety bond that satisfies the requirements of $\$ 405.13$ (relating to bonding).
(9) Pay the required license fee.
(b) In addition to meeting the requirements of subsection (a) a first-time applicant for a first-class pilot's license shall:
(1) Have completed 40 hours of Conmissionapproved continuing education in navigation, ship handling or related topics within the preceding five years. Courses in the required areas of radar observer, automatic radar plotting aids (ARPA) and bridge resource management may count towards the 40 hour total. The commission shall approve the education facilities that qualify to provide this education. This continuing education requirement shall become effective two years from the date of publication of the final rulemaking in the Pennsylvania Bulletin. [Editor's Note: Date of publication to be inserted.]
(2) Have appeared before the commission for a personal interview.
(c) In addition to meeting the requirements of subsection (a), an applicant for a sixth-class pilot's license shall score a passing grade or better on an examination, as provided for in $\S$ 405.4 (relating to examination for sixth-class license). The Commission may conduct further oral or written examinations as it deems necessary to establish an applicant's proficiency. Prior to taking the examination, the applicant shall:
(1) Be at least 21 years of age;
(2) Be within three months of completing a Commissionapproved apprenticeship; and
(3) Have acquired a current federal pilot's license for the Delaware River issued by the Coast Guard.
(d) In addition to meeting the requirements of
subsection(a), all applicants for a pilot's license:
(1) Shall have piloted at least 52 vessels during the preceding license period, if the applicant is a current license-holder, unless waived by the commission under subsection. (d) (2).
(2) May seek a waiver from the commission of the requirement of subsection (d) (1), in whole or in part, for illness disability or other good cause that prevents a pilot from piloting the required number of vessels. If a waiver is granted, the commission may condition the issuance of the license on the applicant's completion of such refresher trips up or down the river as may be necessary to ensure that the applicant is familiar with current conditions along the route. Refresher trips shall be made in the company of a first-class pilot.

## §405.8. Physical [examinations] qualifications.

[(a)] The physical qualifications for a pilot or apprentice are as follows:
(1) [Visual. Ability to achieve v] Visual [classification] acuity of $6 / 9$ in one eye and $6 / 24$ in the other-=correctable to $6 / 6$ [in one eye] and [at least] 6/9 [in the other] respectively-and normal color perception.
(2) [Hearing. Ability to maintain h] Hearing acuity to the [minimum] extent of correctly repeating. with eyes closed, words or numbers spoken by the examiner in an ordinary conversational tone of voice from a distance of 20 feet [, the person being examined having his eyes closed during the period of the test. This examination applies equally to each ear with the person being examined closing one ear in turn and directing the open ear towards the examiner]. Each ear shall be tested separately; the ear being tested shall be turned in the direction of the examiner while the other ear is plugged.
(3) [Other. The Commission will take into consideration any and all information supplied by an examining physician when determining the physical fitness of any pilot.] The absence of any medical condition that may directly affect one's ability to pilot a ship safely.
[(b) Physical examinations shall be required as follows:
(1) Every 3 years for pilots 50 years of age and under.
(2) Every year for pilots over 50 years of age.
(3) By all applicants for apprenticeship.]
§405.9. Apprentices.
[(a) The term of apprenticeship for the profession of pilot is 4 years.
(b) During the last 2 years of apprenticeship, apprentices shall make at least 350 trips up or down the River in vessels with first or second class pilots, provided that at least 48 trips are made each year.
(c) It shall be the duty of every pilot who brings up or takes down an apprentice to report the trips in writing to the Office of the Commissioners.
(d) The name of every apprentice, together with the name of the vessel and her master, in which every apprentice has come up or gone down shall be recorded by the Commissioners in a book to be kept by them for that purpose. Indentures of apprentices to pilots shall be recorded in the Office of the Commission.]
(a) Apprentice qualifications. An applicant for an apprenticeship as a pilot shall:
(1) Be of good moral character.
(2) Have acquired one of the following:
(i) A baccalaureate degree from a
recognized and duly certified
college or university or from a maritime academy operated by the

> United States or any state.
(ii) A Coast Guard-issued license to serve as a third mate on all oceans aboard vessels of any aross tons, or a higher class of license.
(3) Have passed a physical examination within six months of the date of application based on the requirements of $\$ 405.8$ (relating to physical examinations), as evidenced by a physician's statement.
(b) Conduct of apprenticeship. An apprenticeship shall provide the theoretical education and supervised practical experience required for licensure as a sixth-class pilot and shall be conducted as follows:
(1) Every apprentice shall serve an apprenticeship of four years. except that an apprentice who at the time of appointment, holds at least a third mate's license under subsection (a) (2) (ii) may serve an apprenticeship of three years.
(2) The theoretical aspect of an apprenticeship shall cover, but not be limited to the topics in §405.4 (relating to examination for license).
(3) An apprentice shall make at least 500 trips up or down the River in vessels with a pilot licensed by Pennsylvania or Delaware.
(4) The pilots who train an apprentice shall file reports with the joint Pennsylania/Delaware Apprentice Pilot Training Program Administrator whe shall file reports with the commission on the activities and performance of the Pennsylvania apprentices in the apprentice program.
§405.10. Term of license.
[Each license shall be in force for 1 year from the issuance date unless revoked by the Commission.] A lisense shall be for a term of one year, subject to renewal by the Sommission.
§405.11. Nondiscrimination.
The Commission shall comply with all applicable state and federal laws prohibiting discrimination in licensing or apprenticeship opportunities. [A] No person may [not] be denied a license, refused an apprenticeship indenture, refused sponsorship for a pilot's license or discriminated against in the provision of pilotage services [on the basis] because of race, religion, [N]national origin, sex or age.

## §405.12. Renewal of license.

[(a) Every pilot shall be entitled to receive a new license each year unless disqualified from exercising the duties of a pilot by the Commission.
(b) Application for a renewal of license shall be made upon a completed Form DC-757 (10-76) (Application for Renewal of Pilot's License).]
(a) An applicant for renewal of a pilot's license in any class shall:
(1) bave satisfied the requirements of subsections (a) (1) through (a) (6) and (a) (8) of $\$ 405.7$ (relating to qualifications for license); and
(2) pay the required renewal fee.
(b) In addition to meeting the requirements of Subsection (a) an applicant for renewal of a first class pilot's license shall have completed 40 hours of Commission-approved continuing education in navigation, ship handling or related topics within the preceding five years. Courses in the required areas of radar observer, automatic radar plotting aids (ARPAL and bridge resource management may count towards the 40 -hour total. The

Commission shall approve the education facilities that qualify to provide this education. This continuing education requirement shall become effective two years from the date of publication of the final rulemaking in the Pennsylvania Bulletin. [Editor's Note: Date of publication to be inserted.]
§405.14. [Number of pilots and apprentices.] (Reserved).
[(a) A person may not receive a license as a first-class pilot until the number of first and second-class pilots be reduced to less than 42 and that the whole number of first and second-class pilots shall not exceed 42.
(b) The number of third-class and fourth-class pilots may not exceed ten.
(c) The number of apprentices indentured will be wholly within the discretion of the Commission.]
§405.16. [Acting as pilot without license.] (Regerved).
[If any person shall in any manner exercise or attempt to exercise the profession of a pilot in the bay or River, except in vessels under 75 tons burden, without license duly granted or at any time after his license shall have expired, he shall be guilty of a misdemeanor, and, upon conviction thereof, he shall be imprisoned for not less than one month nor more than one year and be fined a sum not exceeding $\$ 200$, at the discretion of the court.]

## §405.17. [Offering services.] (Reserved).

[The pilot who shall first offer himself to any inward-bound ship or vessel shall be entitled to take charge thereof, provided, his license shall authorize him to pilot ships or vessels of such draught of water and tonnage burden, and it shall be duty of such pilot, if required so to do, to exhibit his license to the master or commander of such ship or vessel. In
case the draught of water or tonnage burden of such ship or vessel shall be greater than such pilot shall be licensed to carry, he may nevertheless, with the consent of the master, take charge of such ship or vessel until a pilot duly qualified shall offer.]
§405.18. [Vessels required to take on pilot.] (Reserved).
[(A) Every ship or vessel arriving from or bound to any foreign port or place shall be obliged to receive a pilot, except as exempted by law.
(b) Any ship or vessel voluntarily taking a pilot shall pay a fee which is no more than the fee for pilotage as is prescribed in case of a vessel bound to or from a foreign port.]
§405.19.1. Docking, Undocking and Anchoring of Vessels.
When a vessel which has taken aboard a Pennsylvania or Delaware state-licensed pilot is docking, undocking, or anchoring, the Pennsylvania or Delaware state-licensed pilot shall remain on the bridge, attentive to duty, until the vessel has at least one ship's line secured to the dock or until the vessel is anchored properly and firmly within a designated anchorage area or until the state-licensed pilot is discharged at the pilot station area upon the vessel's departure to sea. This section shall not be construed as prohibiting the master of a vessel from employing the services of a docking master.

## §405.21. Accident Reports.

(a) Written report. [It shall be the duty of every] $A$ pilot involved in a marine accident [to give] shall [promptly] submit within five (5) days a written report of the accident [promptly] to the Commission [whenever] if the accident results in [any] one of the following:
(1) Actual physical damage to property in excess of $\$ 1,500$.
(2) Material damage affecting the seaworthiness or efficiency of a vessel.
(3) Stranding or grounding.
(4) Loss of life.
(5) Injury causing [any] a person[s] to remain [incapacitated] hospitalized for a period in excess of 72 hours.
(b) Telephonic report. In addition to submitting a written report under subsection (a), a pilot involved in a marine accident shall make a telephonic report of the accident to the commission within 24 hours of the accident if the accident results in one of the following:
(1) Loss of life.
(2) Collision or grounding.
(3) Oil spill.
(c) Contents of report. [the] An accident report [s], whether written or telephonic, shall [identify] set forth the following:
(1) Vessels and objects involved in the accident.
(2) Location, date and time of the accident.
(3) Weather and sea conditions when the accident occurred.
(4) Events and circumstances leading to the accident.
(5) Nature of the accident.
(6) Nature of loss or damage resulting from the accident.
(d) Coast Guard Report. A pilot may submit a copy of a completed [United States] Coast Guard report of vessel casualty

```
or accident form, along with [and] any attachments [thereof is
acceptable] to satisfy the requirements of subsection(a).
```

§405.27. [Rates for pilotage between Capes and port.] (Reserved).
[For services rendered, the rates of pilotage for conducting a vessel from the Capes of the Delaware to a place on the River no further upriver than the Delair Railroad Bridge between Philadelphia, Pennsylvania and Delair, New Jersey or from a place on the River no further upriver than the Delair Railroad Bridge between Philadelphia, Pennsylvania and Delair, New Jersey to the Capes of the Delaware, in either case, shall be the total of the charges resulting from the two following computations:
(1) Unit. Unit charges shall be as follows:
(i) A charge, to be known as a unit charge, shall be made for each pilotage, determined by length overall, in feet, multiplied by the extreme breadth, in feet, of the vessel, divided by 100.
(ii) For the purposes of this section, the following definitions shall be applied:
(A) Extreme breadth -- the maximum breadth between the outside of the shell platings of the vessel.
(B) Length overall -- The distance between the forward and after extremities of the vessel.
(C) Measurements -- Designated in feet and inches, United States. Inches shall be converted to feet as follows:

| 1 inch | $=.1$ feet |
| ---: | :--- |
| 2 inches | $=.2$ feet |
| 3 inches | $=.3$ feet |
| 4 inches | $=.3$ feet |

5 inches $=.4$ feet
6 inches $=.5$ feet
7 inches $=.6$ feet
8 inches $=.7$ feet
9 inches $=.8$ feet
10 inches $=.8$ feet
11 inches $=.9$ feet
(iii) The charges per unit shall be as
follows:
(A) Vessels not in excess of 300 units 604 per unit.
(B) Vessels in excess of 300 units but not in excess of 600 units plus $\$ .50$ per unit in excess of 300 units.
(C) Vessels in excess of 600 units $\$ 330$ plus $40 \varnothing$ per unit in excess of 600 units.
(D) There shall be a minimum unit charge of 200 units $\$ 120$.
(2) Draft. Draft charges shall be as follows:
(i) A charge, related to length and draft, shall be determined as follows:
(A) Vessels with a length overall not in excess of 550 feet: $\$ 5.00$ per $1 / 2$ foot of draft.
(B) Vessels with a length overall in excess of 550 feet, but not in excess of 800 feet: $\$ 5.50$ per $1 / 2$ foot of draft.
(C) Vessels with a length overall in excess of 800 feet: $\$ 6.00$ per 1/2 foot of draft.
(ii) All charges related to draft shall be based upon the charge of the nearest $1 / 2$ foot of draft; thus
there shall be no charge for the first three inches above any foot draft; above three inches, up to and including nine inches, the charge shall be for $1 / 2$ foot of draft; above nine inches, the charges shall be for the next higher foot; provided, however, that any vessel with a draft of less than 12 feet shall pay pilotage under this computation as though the draft of the vessel were 12 feet.
(3) Winter pilotage. No additional charge shall be made for winter pilotage.]
§405.28. [Rates of pilotage between Capes and breakwater.] (Reserved).
[(a) Every ship or vessel bound to the breakwater for orders shall pay pilotage fees consisting of a sum equal to $1 / 2$ the pilotage to the port, and she shall be obliged to take a pilot and pay the same pilotage fees when outward bound from the breakwater.
(b) If such ship or vessel, without discharging her pilot, proceeds to the port of Philadelphia or any other port or place on the River, only one full pilotage fee shall be paid, as fixed by $\$ 205.27$ (relating to rates for pilotage between capes and port) for the entire service in addition to the fee for detention.
(c) If the pilot bringing such ship or vessel to the breakwater is discharged there and if the ship or vessel afterward proceeds to Philadelphia or any other port or place on the River, she shall make the usual signal for a pilot and continue to make such signal till reaching Brandywine Light, and, if spoken by or offered the services of a licensed Commonwealth pilot before reaching Brandywine Light, she shall be obliged to employ such pilot and pay him for services rendered.]
§405.29. [Disabled vessels.] (Reserved).
[The compensation to be paid to pilots for conducting to or from the port all dismasted or otherwise crippled vessels which
shall have been in any way injured so as to occasion to such pilots any extraordinary care or trouble shall not exceed double the amount which they otherwise would have been entitled of which amount the Commission will be the judge.]

## §405.31. [Extraordinary services.] (Reserved).

[For extraordinary services rendered, the rates of pilotage shall be as follows:
(1) Transportation of vessels on the river.

Philadelphia (or other place) to Marcus Hook........ $\$ 150$
Philadelphia (or other place) below Marcus Hook.... $\$ 175$
Philadelphia harbor to Torresdale..................... $\$ 150$
Philadelphia harbor to any place above Torresdale.. $\$ 250$
(2) Extra services.

Swinging ship to adjust Magnetic Compass............ 65
Swinging ship to calibrate Direction Finder......... $\$ 65$
Vessels anchoring below Brown Shoal in excess of
three hours awaiting tide............................. $\$ 125$
Carried to/from new York, Norfolk or Baltimore..... $\$ 150$
(3) Chesapeake and Delaware Canal.

Vessels with a length not in excess of 550 feet.... $\$ 125$
Vessels with a length overall in excess of 550 feet \$150
(4) Cancellation of sailing orders.

Cancellation charge......................................... $\$$ 65]
§405.33. [Neglect of duties.] (Reserved).
[(a) If any person having a license as a pilot shall, for the space of 2 weeks, refuse or willfully neglect to execute the duties of a pilot, the person, upon due proof, shall forfeit his
license.
(b) If any pilot shall enter into any combination with a view of preventing any other person from executing his duties, the pilot, upon proof, shall forfeit his license.
(c) This section may not be construed as to prevent any pilot from taking a leave from piloting for vacations, illness or contact with a contagious disease, jury duty, court attendance, military reserve or national guard duties, or other appropriate reasons.]

COMMONWEALTH OF PENNSYLVANLA
DEPARTMENT OF STATE
BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS
NAVIGATION COMMISSION FOR THE DELAWARE RIVER AND ITS NAVIGABLE TRIBUTARIES
302 North Office Building
Harrisburg, Pennsylvania 17105-2649

June 14, 2000

The Honorable John R. McGinley, Chairman
INDEPENDENT REGULATORY REVIEW COMMISSION
$14^{\text {th }}$ Floor, Harristown 2
333 Market Street
Harrisburg, Pennsylvania 17120
Re: Notice of Proposed Rulemaking of the Navigation Commission for the Delaware River and Its Navigable Tributaries pertaining to General Revisions (16A-662)

Dear Chairman McGinley:
Enclosed is a copy of a proposed regulation of the Navigation Commission pertaining to general revisions.

The Navigation Commission, as always, will be pleased to provide your Commission with any assistance it may require during the course of its review of this regulation.


Secretary of the Commonwealth and
Chairperson of Navigation Commission for the Delaware River And Its Navigable Tributaries

KP:ddw
Enclosures
c: John T. Henderson, Jr., Chief Counsel
Department of State
Joyce McKeever, Deputy Chief Counsel
Department of State
L. Lawrence Boyle, Deputy Chief Counsel and Counsel to the
Navigation Commission

TRANSMITTAL SHEET FOR REGULATIONS SUBJECT TO THE REGULATORY REVIEW ACT


## FILING OF REGULATION

DATE
SIGNATURE
DESIGNATION


SENATE COMMITTEE ON CONSUMER PROTECTION \& PROFESSIONAL LICENSURE

6/14/00 St Lelmets
INDEPENDENT REGULATORY REVIEW COMMISSION

ATTORNEY GENERAL

LEGISLATIVE REFERENCE BUREAU

